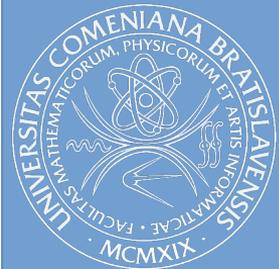


Mário  
JANDA

Karol  
HENSEL

Zdenko  
MACHALA



# GENERATION OF ANTIMICROBIAL NO<sub>x</sub> BY TRANSIENT SPARK DISCHARGE

## UNDERSTANDING & OPTIMIZATION



Division of Environmental Physics  
Faculty of Mathematics, Physics and Informatics  
Comenius University in Bratislava, Slovakia

Effort sponsored by the Slovak Research and Development Agency APVV-0382-17,  
Slovak grant agency VEGA 1/0419/18.



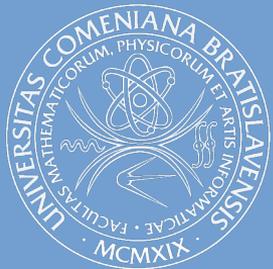
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## OUTLINE

- **introduction to the Transient Spark (TS) discharge**
- TS applications = motivation
- TS diagnostic & modeling
- understanding of physics and chemistry behind TS
- optimization
- summary





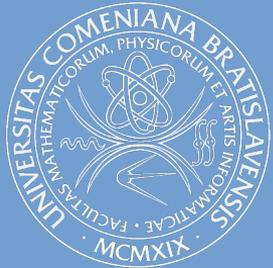
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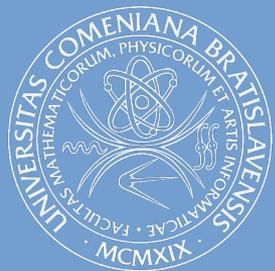
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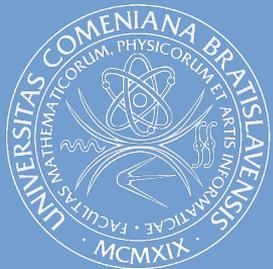
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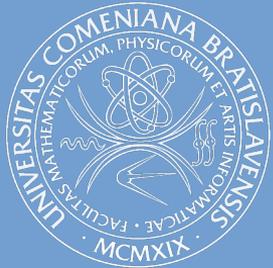
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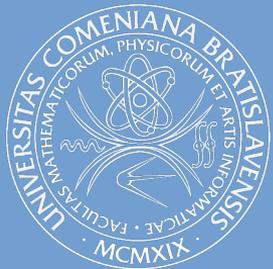
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DC driven  
self-pulsing

frequency  
1-10 kHz

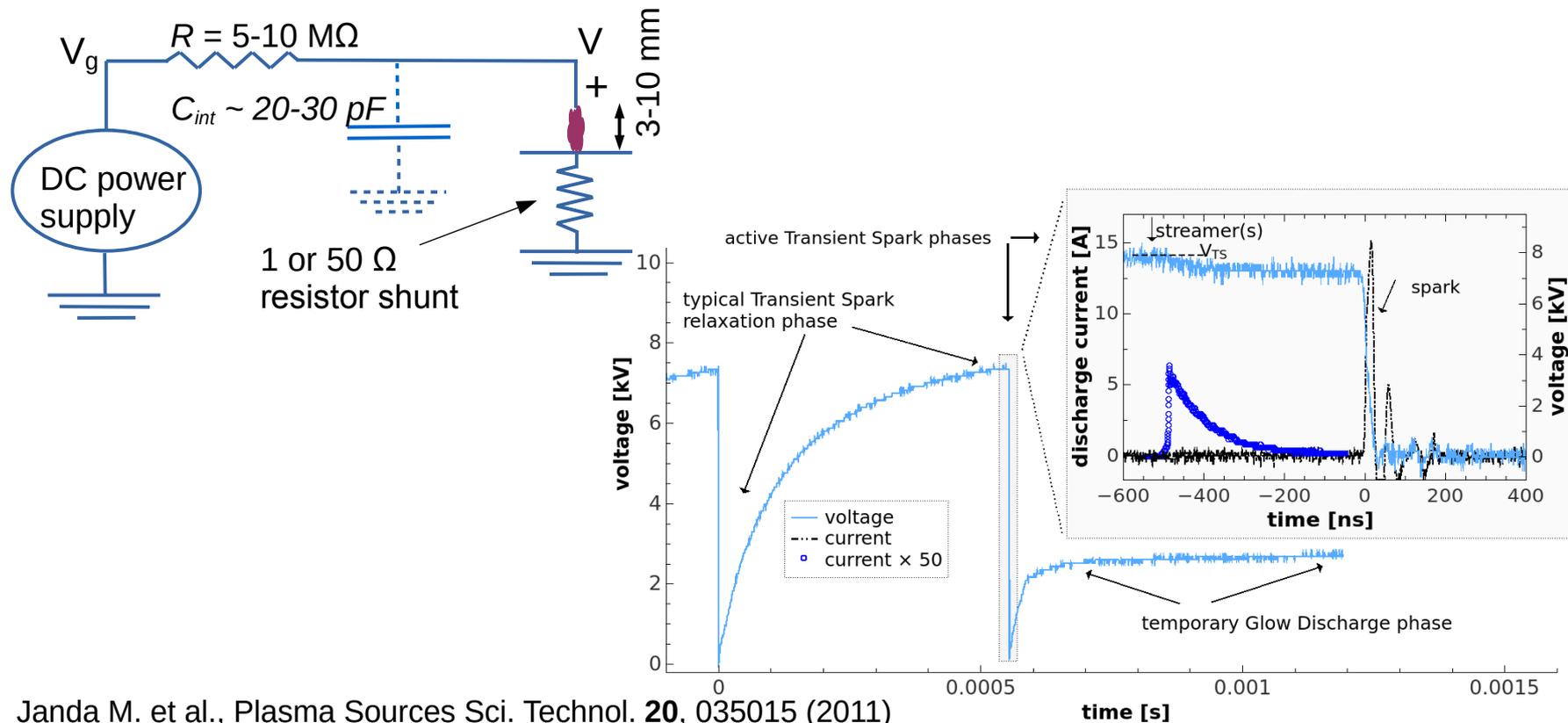
streamer-to-  
spark (short)

highly reactive  
non-equilibrium  
plasma

suitable for  
biomedical &  
environmental  
applications

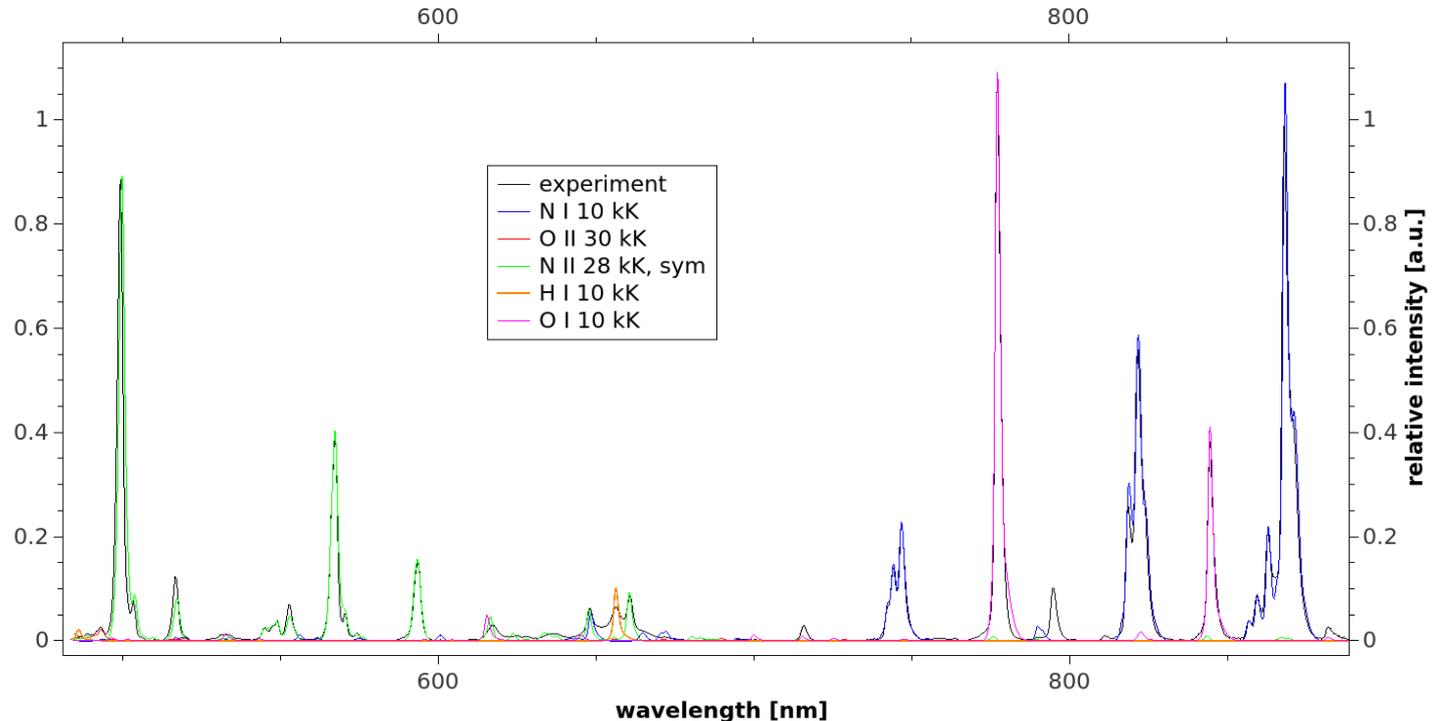
## TS – DC DRIVEN SELF-PULSING DISCHARGE

- streamer initiates breakdown and short spark
- repetitive ( $f \sim 1\text{-}10\text{ kHz}$ ) charging and discharging of  $C_{int}$



## GENERATED PLASMA

- UV spectrum is dominated by N<sub>2</sub> SPS, and N II (O II) ionic lines
- Vis spectrum can be fitted by combining N I, N II, O I, O II and H lines
- T<sub>exc</sub> ~ 30 kK (N II, O II), T<sub>exc</sub> ~ 10 kK (N I, O I)



↓  
DC driven  
self-pulsing

↓  
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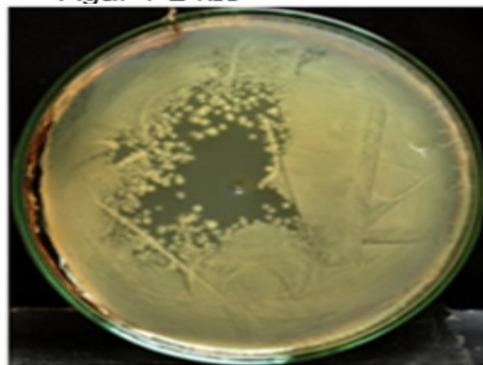
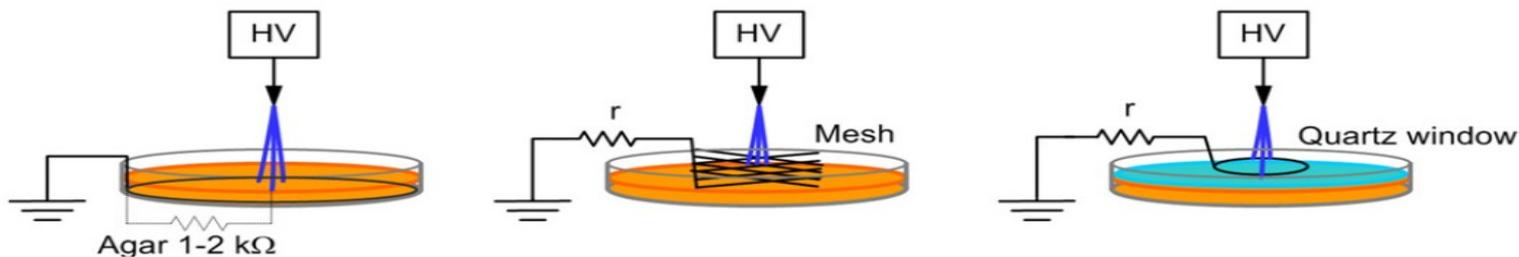
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## BIO-DECONTAMINATION OF WATER AND SURFACES

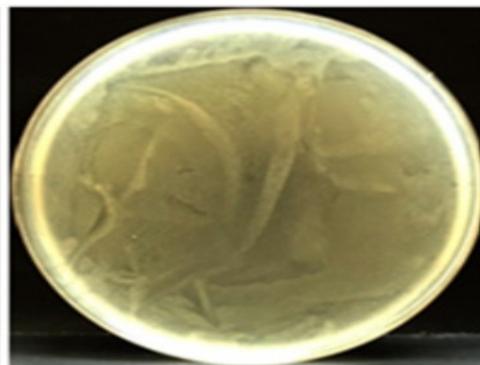
- tested on various bacteria, yeasts, spores and biofilms
- antimicrobial agents in plasma - UV radiation, electric field, ions, neutrals
- the effect of **reactive neutral species** dominates in TS



all agents



UV, neutral species

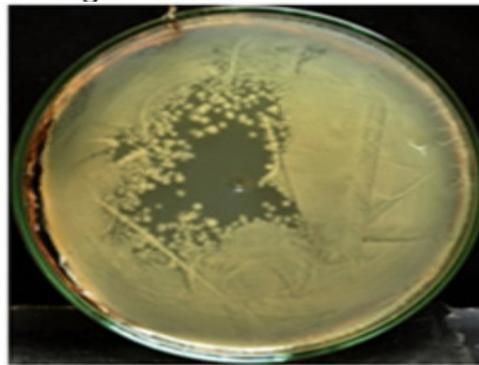
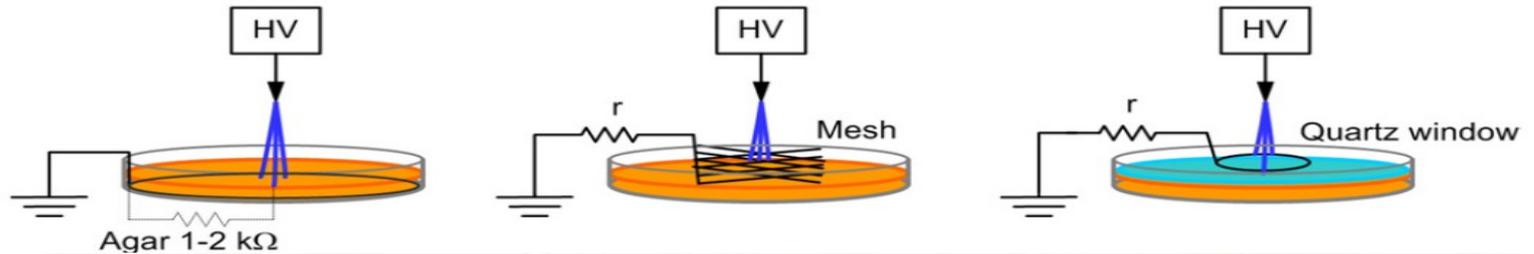


UV only



## BIO-DECONTAMINATION OF WATER AND SURFACES

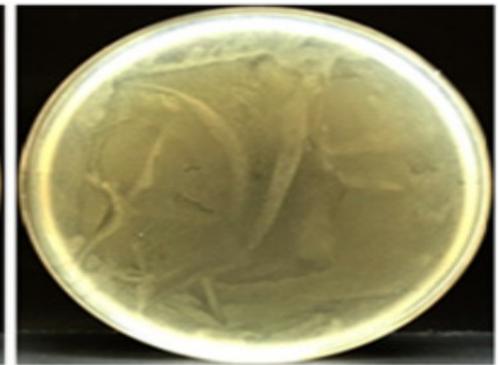
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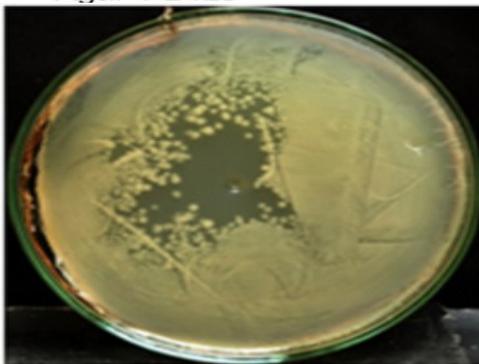
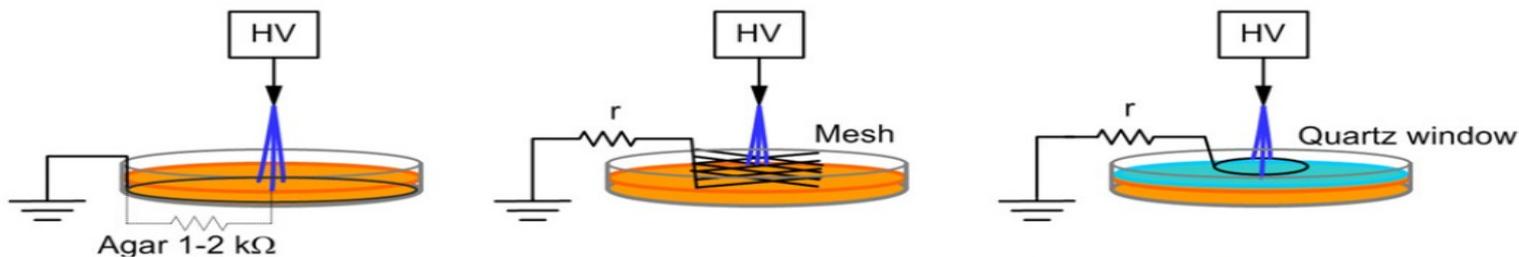


UV only



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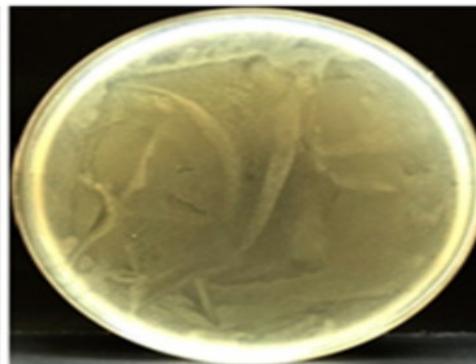
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UV, neutral species



UV only



electrical  
diagnostic



liquid phase  
products



optical  
diagnostic



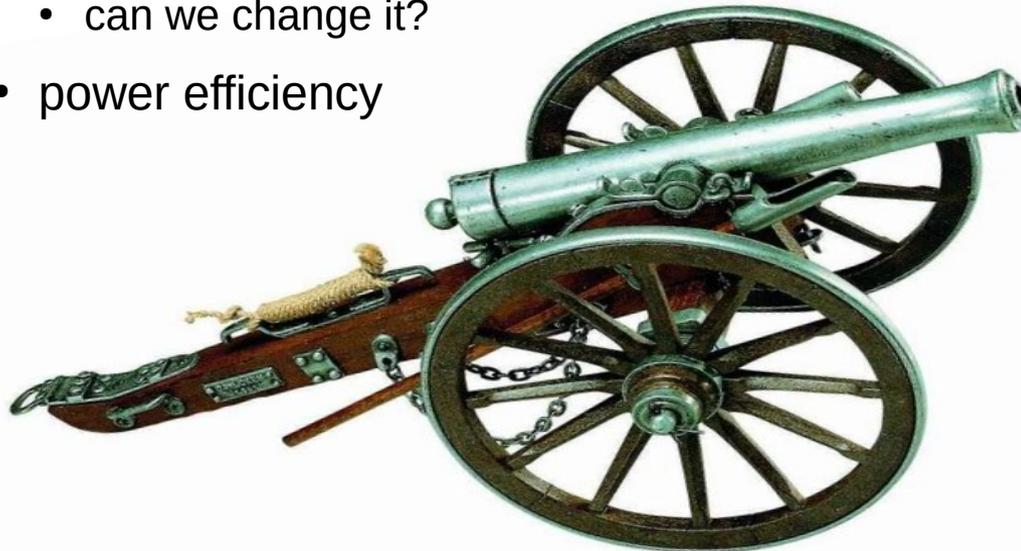
gaseous  
products



chemical  
modeling

## BASIC RESEARCH OF TS STILL NEEDED

- optimization of reactive species generation
  - optimal settings for generation of NO, NO<sub>2</sub> or O<sub>3</sub>
- optimization of chemical selectivity
  - which gas phase products dominate in TS?
  - can we change it?
- power efficiency





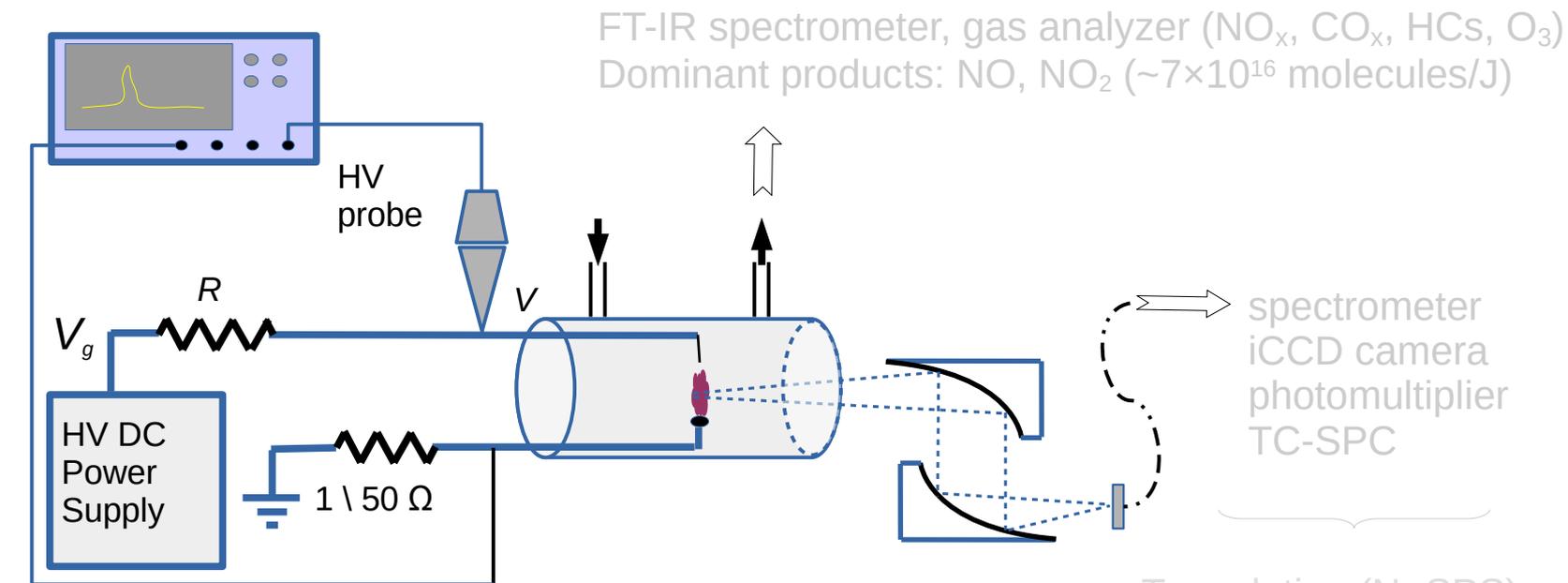
↓  
electrical  
diagnostic

↓  
optical  
diagnostic

↓  
gaseous  
products

↓  
chemical  
modeling

## EXPERIMENTAL SET-UP



oscilloscope  
high voltage probe  
current monitor  
resistor shunts

$V$ ,  $I$  waveforms  
repetition rate  
energy per pulse  
plasma resistance

$T_g$  evolution ( $\text{N}_2$  SPS)  
 $n_e$  evolution (Stark)  
 $E/N$  in streamer



## EXPERIMENTAL SET-UP

electrical diagnostic



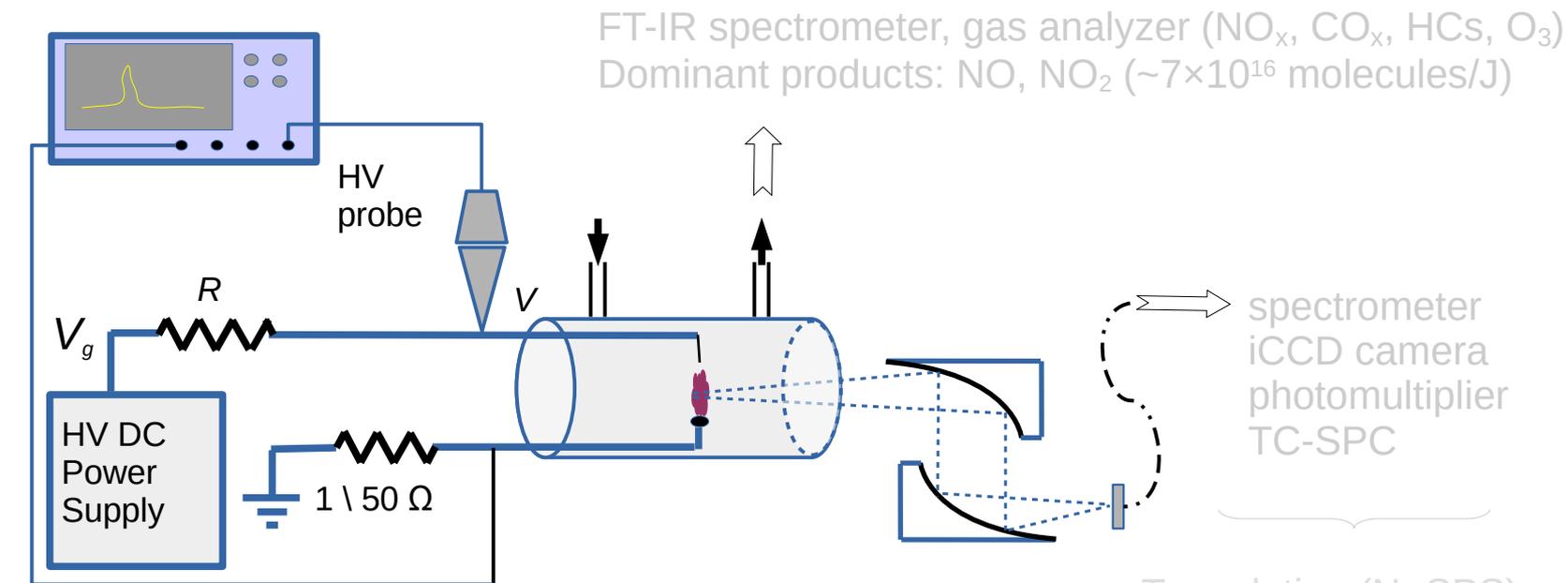
optical diagnostic



gaseous products



chemical modeling



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**V, I waveforms**  
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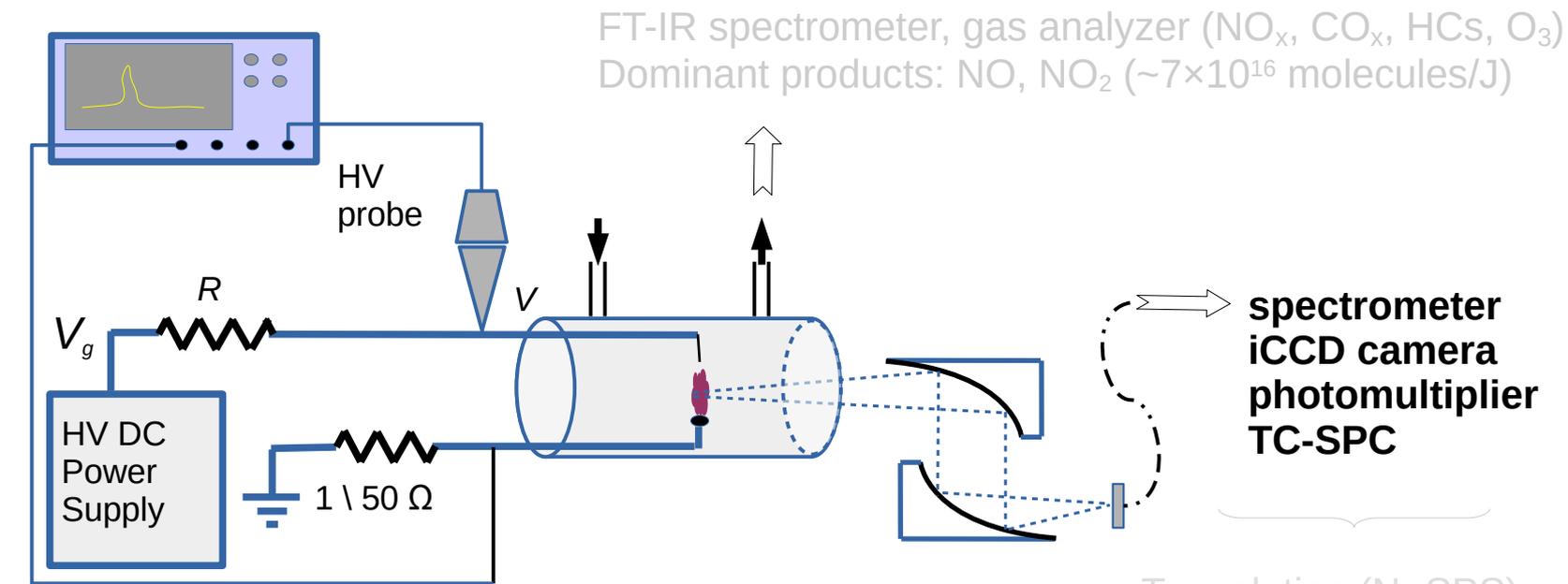
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electrical  
diagnostic

↓  
optical  
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gaseous  
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↓  
chemical  
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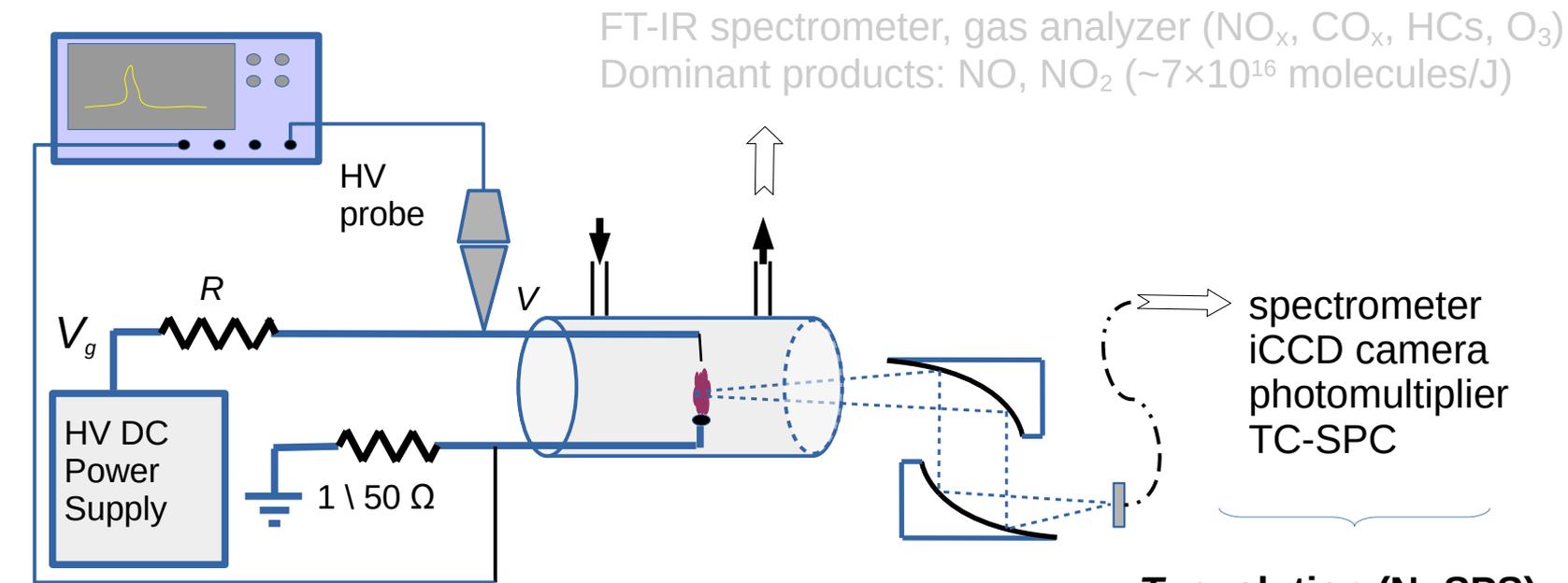
↓  
electrical  
diagnostic

↓  
optical  
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gaseous  
products

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chemical  
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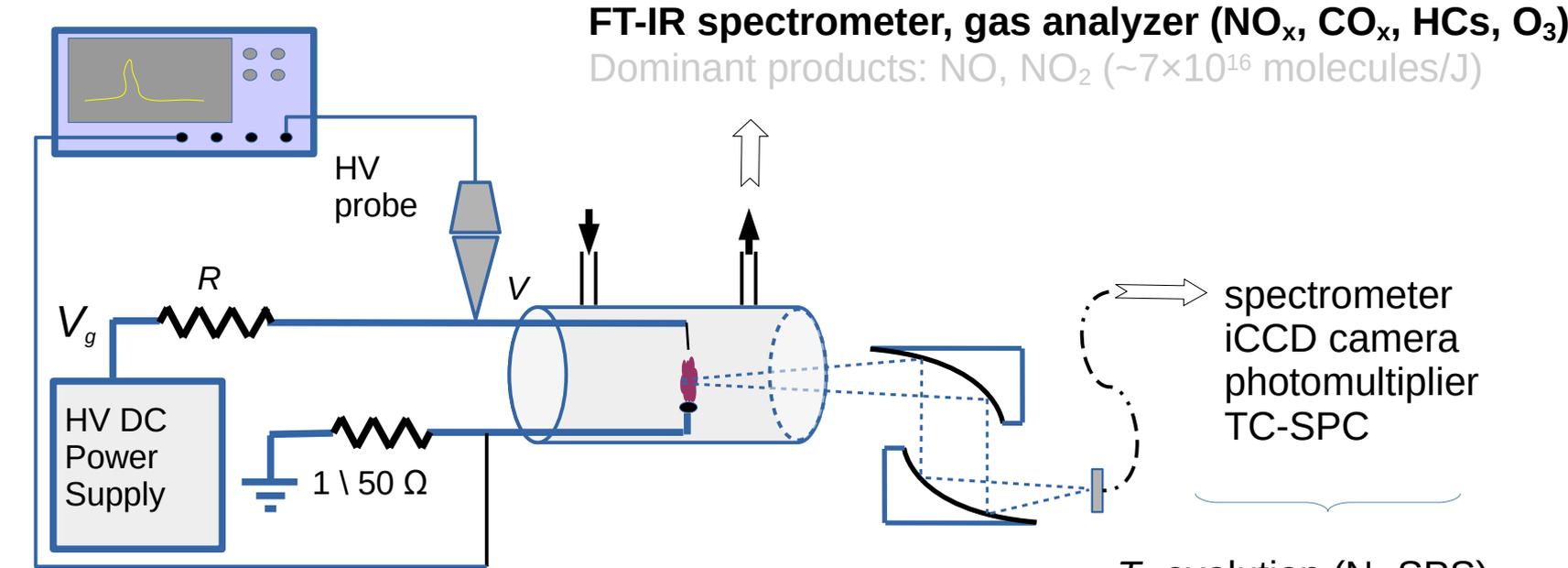
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↓  
electrical  
diagnostic

↓  
optical  
diagnostic

↓  
gaseous  
products

↓  
chemical  
modeling



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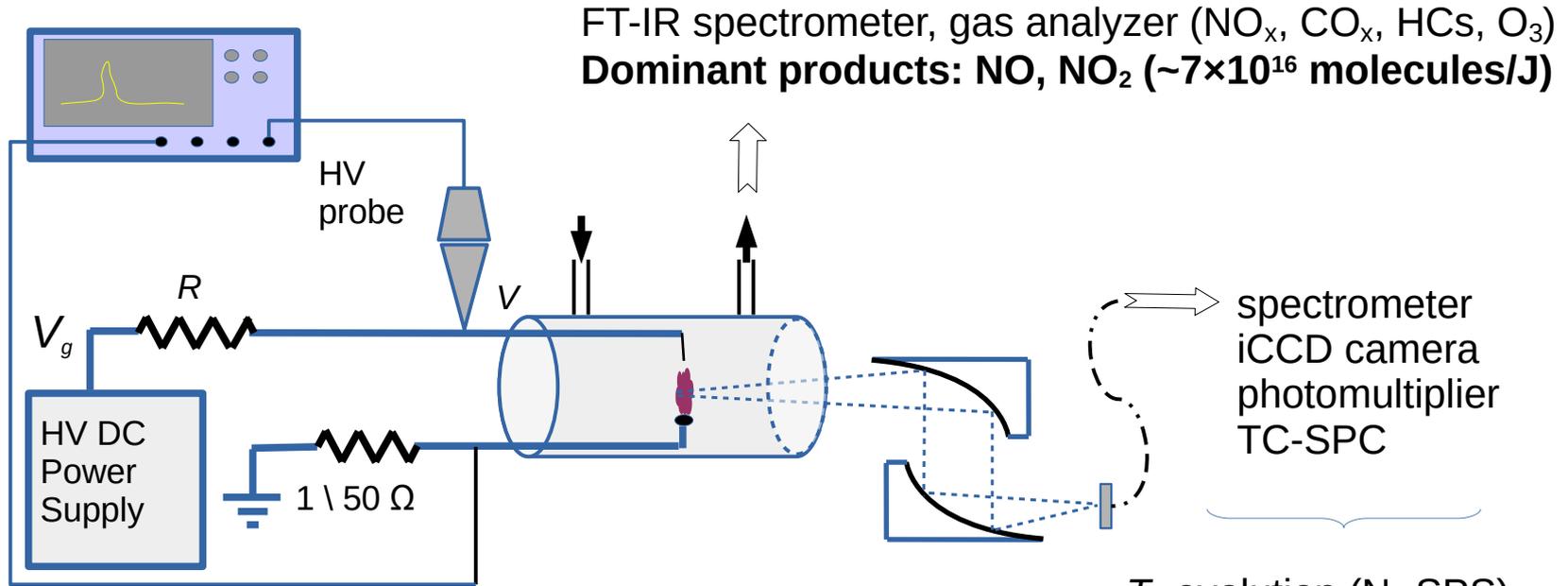
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electrical diagnostic

optical diagnostic

gaseous products

chemical modeling



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oscilloscope  
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 resistor shunts

$V, I$  waveforms  
 repetition rate  
 energy per pulse  
 plasma resistance

electrical  
diagnosticliquid phase  
productsoptical  
diagnosticgaseous  
productschemical  
modeling

## CHEMICAL KINETIC MODEL – ZDPlasKin [1]

```

ELEMENTS
e Ar
END

SPECIES
e Ar Ar^+
END

BOLSIG
Ar
END

REACTIONS
e + Ar => e + e + Ar^+ ! Bolsig Ar->Ar^+
e + Ar^+ + Ar => Ar + Ar ! 1.0d-25
END
  
```

list of  
elements,  
species  
and  
reactions



preprocessor



ZDPlasKin  
Fortran90  
Module

+

module  
provided  
by user  
(Fortran)

Our major task:  
physical model  
of Transient Spark



Bolsig+  
solver

+

DVODE  
library

+

numerical solver  
of Boltzmann equation [2]

numerical solver for system  
of ordinary differential equations

compilation

executable  
program

output  
data

+

Cross-sections  
database

describes evolution of  $T_g$ ,  $E/N$ , ...

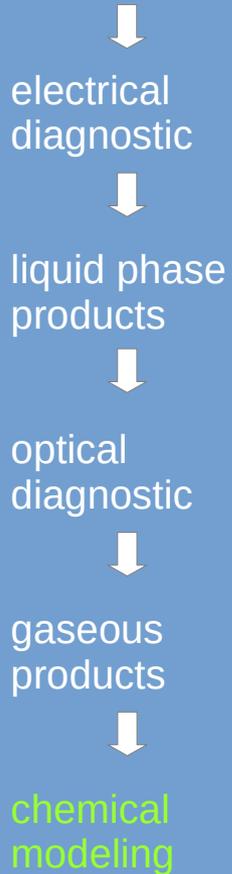
[1] [www.zdplaskin.laplace.univ-tlse.fr](http://www.zdplaskin.laplace.univ-tlse.fr)

[2] [www.bolsig.laplace.univ-tlse.fr](http://www.bolsig.laplace.univ-tlse.fr)

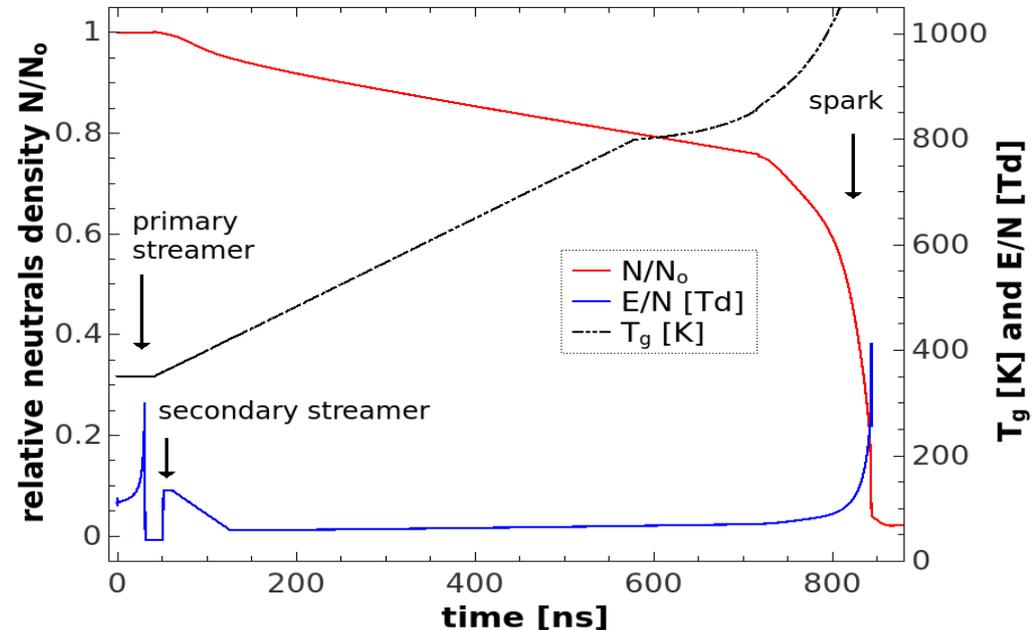
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- hydrodynamic expansion breakdown mechanism

$\uparrow T_g \rightarrow \uparrow p \rightarrow$  hydrodynamic expansion  $\rightarrow \downarrow N \rightarrow \uparrow E/N \rightarrow$  ionization  $\rightarrow$  breakdown



- [1] Janda M et al. (2018)  
J. Phys. D: Appl. Phys. **51**, 334002
- [2] Naidis GV, (2009)  
Eur. Phys. J. Appl. Phys. **47**, 22803
- [3] Dvonč L., Janda M (2015)  
IEEE Trans. Plasma Phys. **43**, 2562-2570

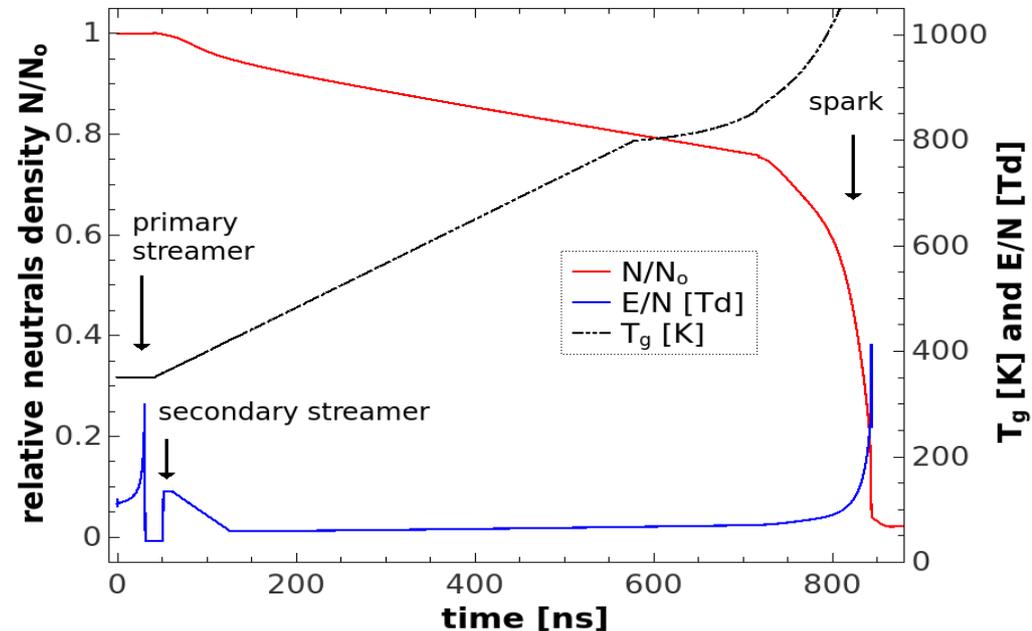


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↓  
electrical  
diagnostic

↓  
liquid phase  
products

↓  
optical  
diagnostic

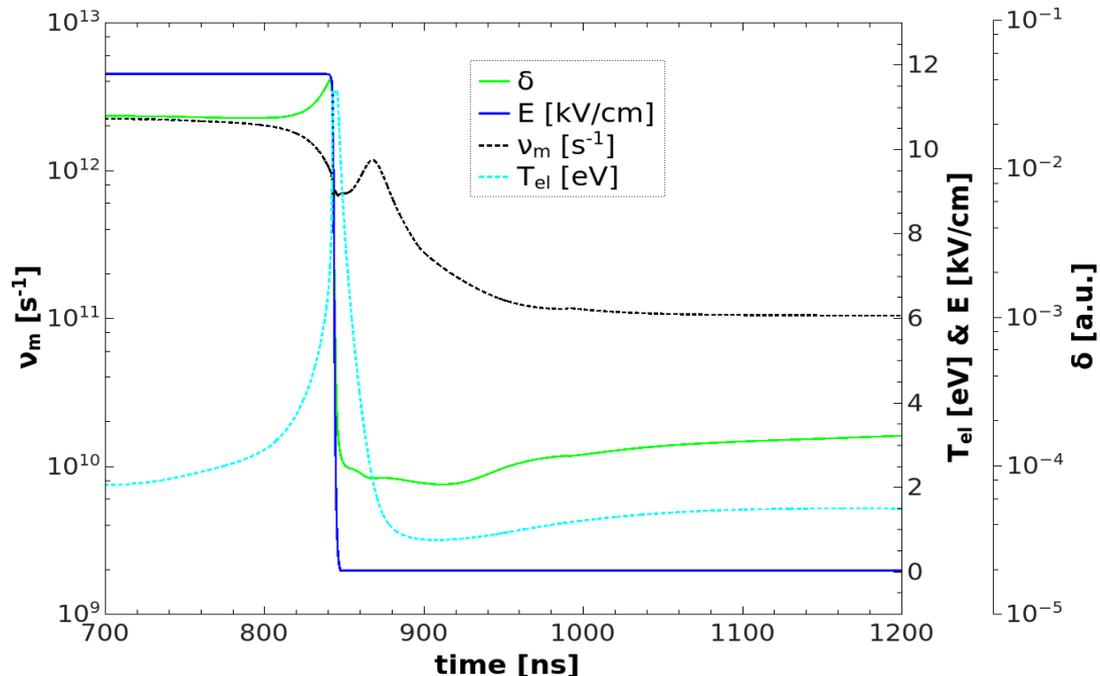
↓  
gaseous  
products

↓  
chemical  
modeling

## SHORT SPARK PHASE

- starts when ionization degree reaches  $2 \times 10^{-2}$ , duration 400 ns
- $E(t)$  calculated (discharging of  $C_{\text{int}}$ ),  $T_{el}$  evolution calculated

$$\frac{d\varepsilon}{dt} = \frac{e^2 E^2}{m_e v_m} - \delta \left( \varepsilon - \frac{3}{2} k T_g \right) v_m$$



- $\nu_m$  – collision frequency of electrons
- $\varepsilon$  – electron mean energy
- $T_g$  – gas temperature
- $\delta$  – relative energy lost per collision, taking into account both elastic and inelastic processes

↓  
electrical  
diagnostic

↓  
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↓  
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## RELAXATION PHASE – work in progress

- plasma channel expansion [1]

$$D_p(t) = D_p^{spark} + (D_p^{max} - D_p^{spark}) \{1 - \exp(-t/\tau_{exp})\}$$

- temperature changes [2]  $T_g(t) = T_g^o + (T_g^{max} - T_g^o) \exp(-t/\tau_g)$ 
  - from measured 'steady-state' temperature  $T_g^o(f)$

- mixing with ambient air

- inflow ( $J_{N_2/O_2}^+$ ) of  $N_2$  and  $O_2$

- several models tested

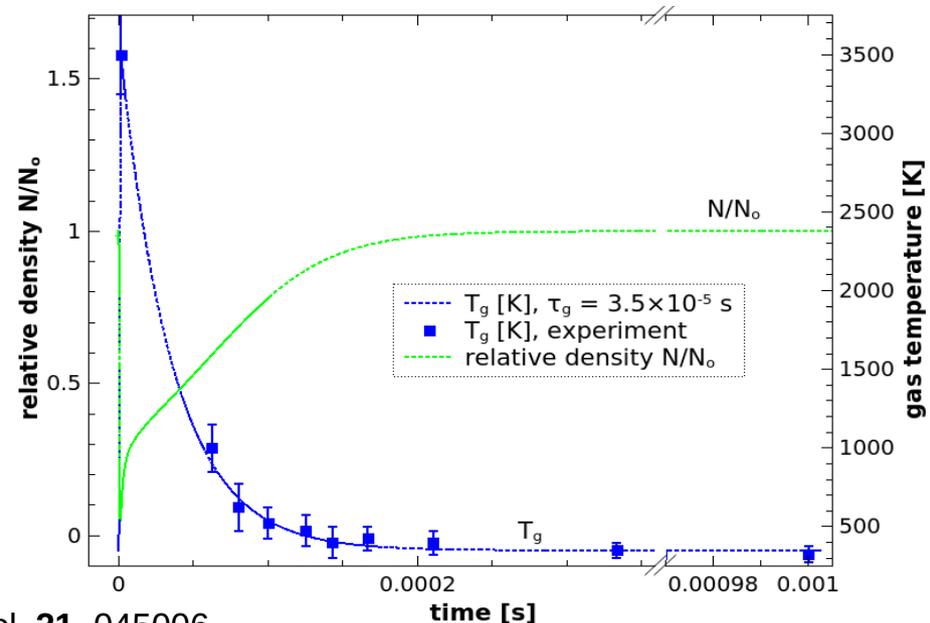
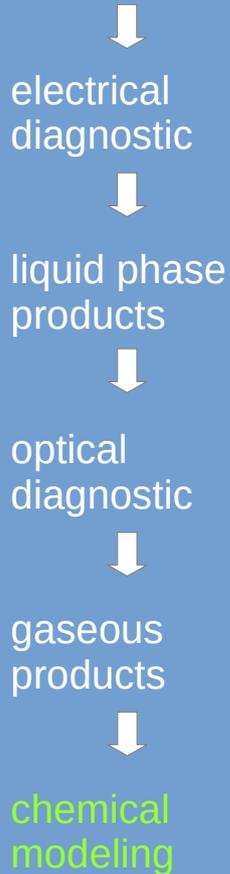
$$J_{N_2/O_2}^+(t) \propto \Delta n_{N_2/O_2}(t)$$

$$J_{N_2/O_2}^+(t) \propto \Delta p(t)$$

$$D_p^{spark} = 50 \mu\text{m}$$

$$D_p^{max} = 500-1000 \mu\text{m}$$

$$\tau_{exp} = 3-30 \mu\text{s}$$



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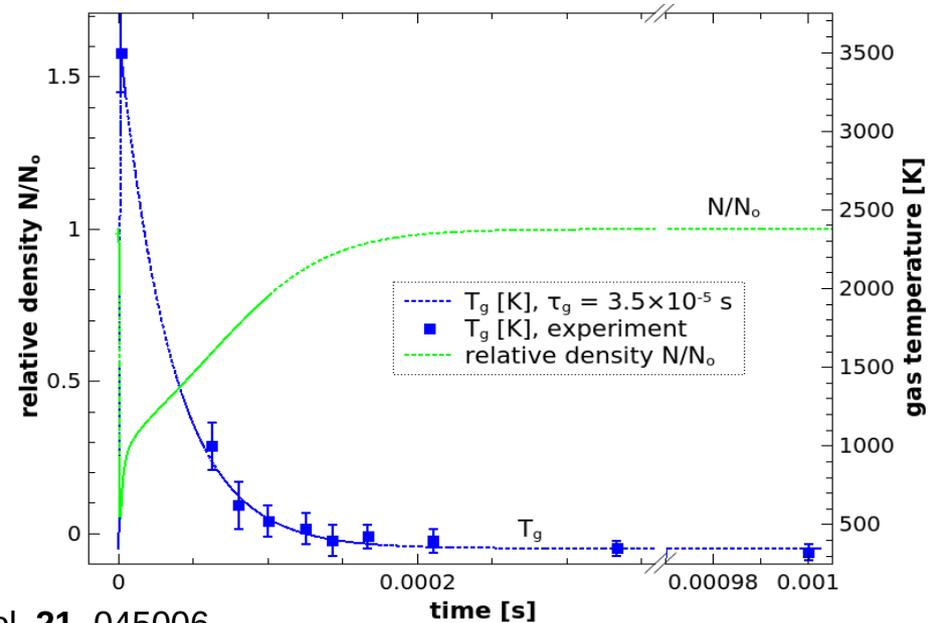
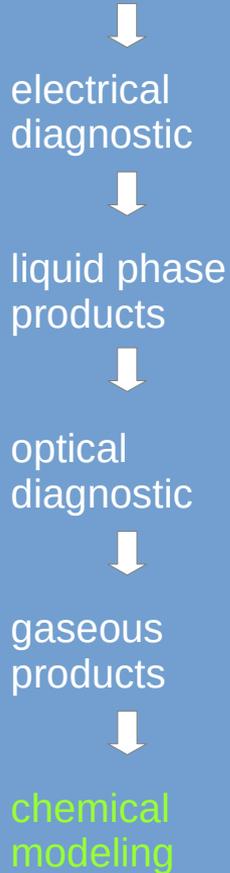
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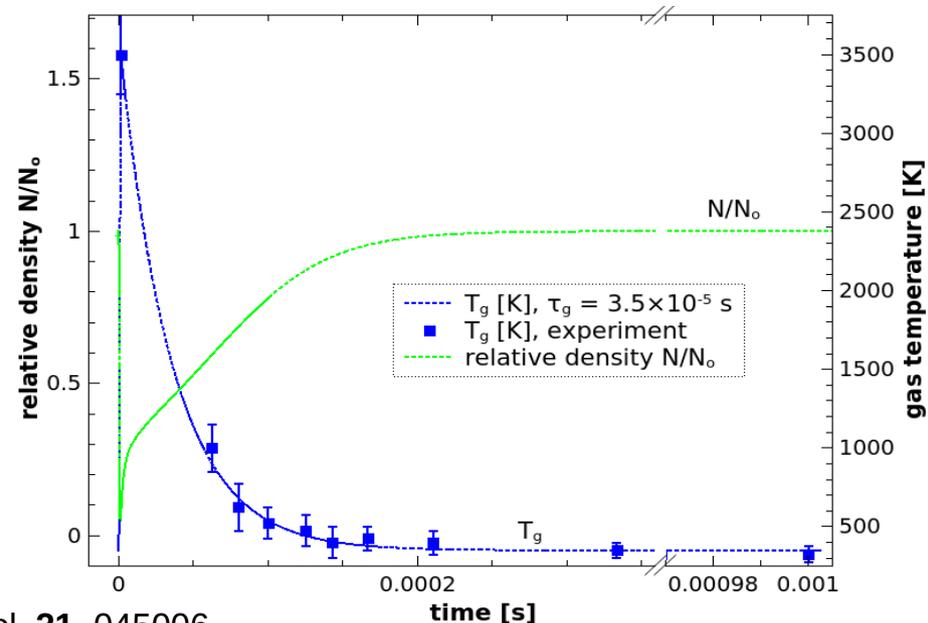
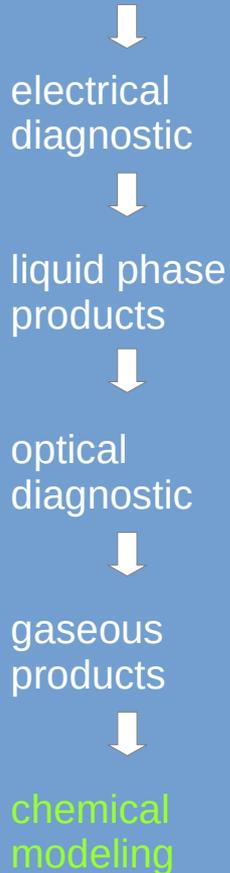
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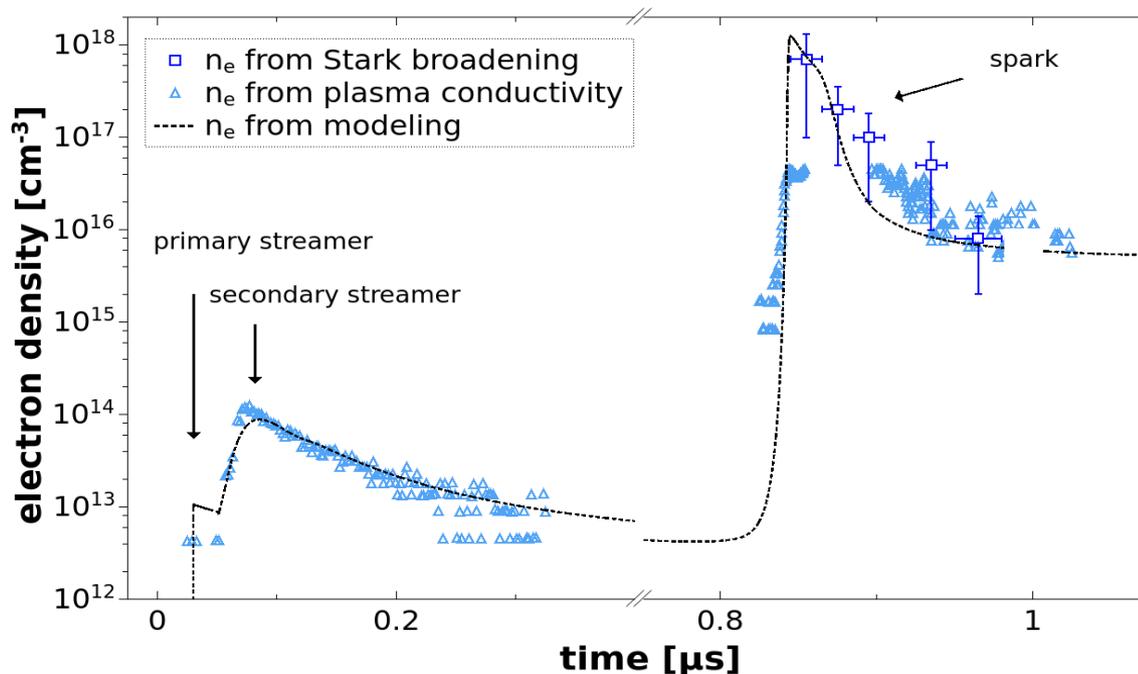


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## MODEL VERIFICATION

- voltage temporal evolution – good agreement
- electron density – reasonable agreement
- suitable for study of RONS generation mechanisms

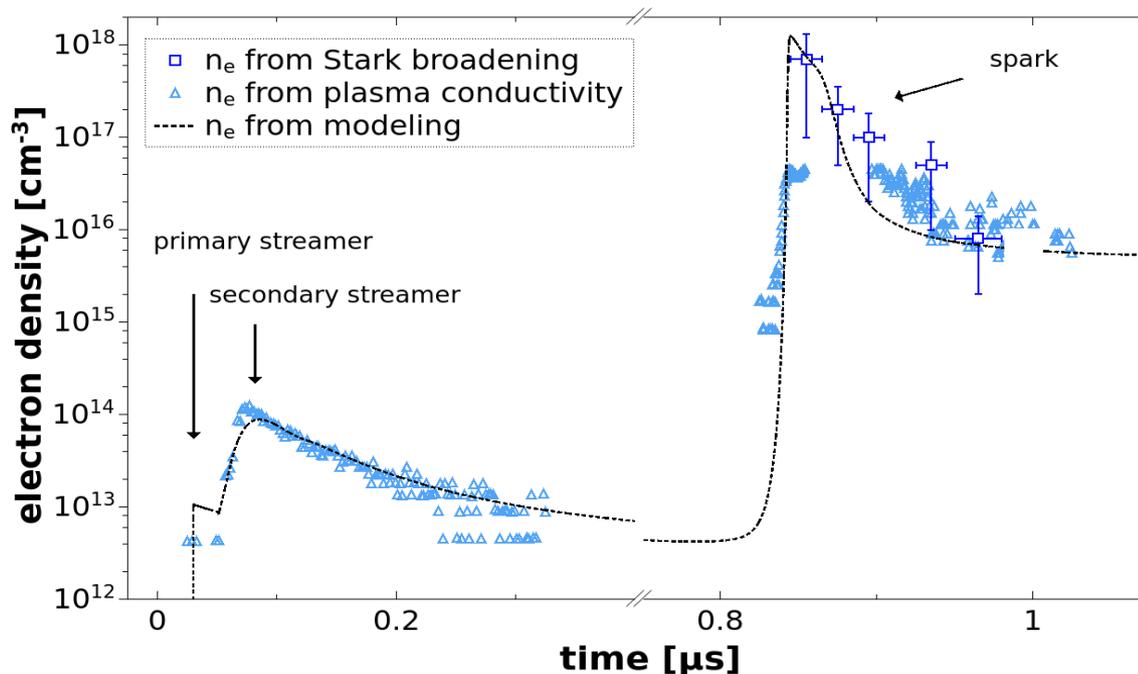


RONS – reactive oxygen and nitrogen species

↓  
electrical  
diagnostic  
↓  
liquid phase  
products  
↓  
optical  
diagnostic  
↓  
gaseous  
products  
↓  
chemical  
modeling

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gaseous  
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chemical  
modeling



O atoms  
by streamer



ionization &  
atomization  
by spark



more N atoms  
during spark



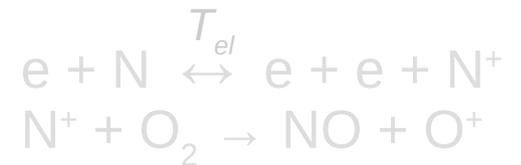
NO shortly  
after spark



NO<sub>2</sub>/O<sub>3</sub> in  
relaxation  
phase

## IONS DURING ACTIVE DISCHARGE PHASE

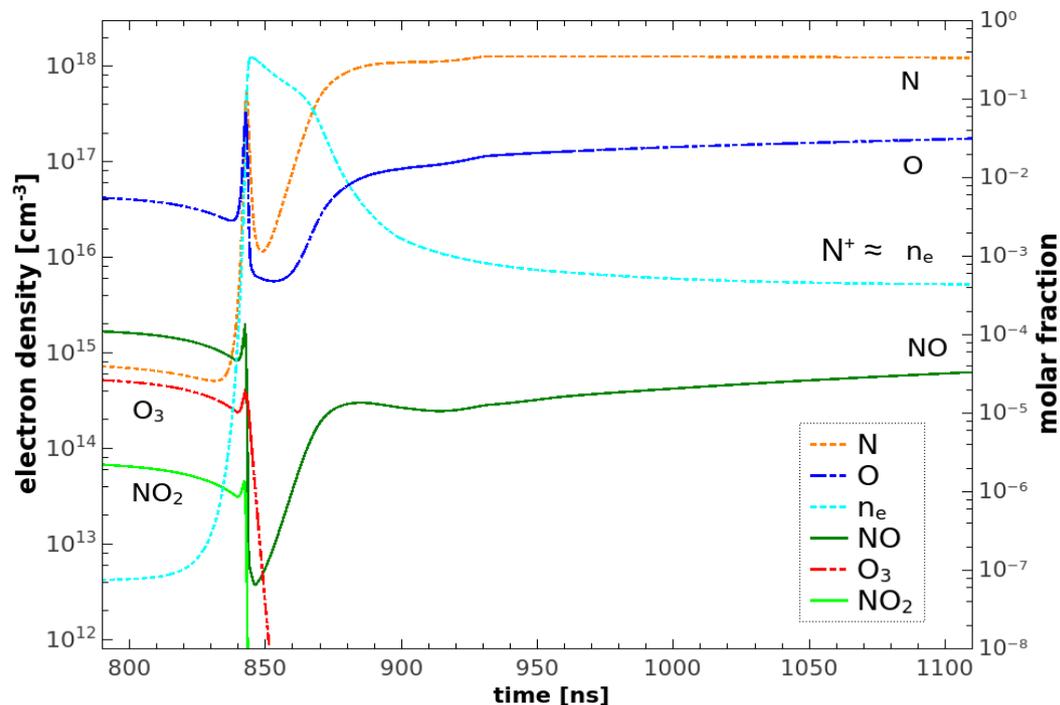
- streamer produces mostly N<sub>2</sub>\* and O atoms
- strong degree of ionization and atomization
- more N than O atoms indicates different final products
- generation of some NO shortly after the spark



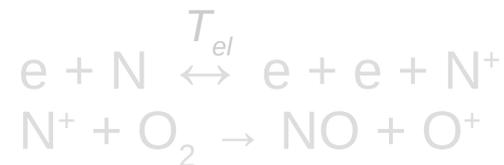
**NO, NO<sub>2</sub> and O<sub>3</sub>  
are mostly produced  
in relaxation phase !**

## RONS DURING ACTIVE DISCHARGE PHASE

- streamer produces mostly  $N_2^*$  and O atoms
- strong degree of ionization and atomization
- more N than O atoms indicates different final products



- generation of some NO shortly after the spark



NO,  $NO_2$  and  $O_3$  are mostly produced in relaxation phase !

O atoms by streamer

ionization & atomization by spark

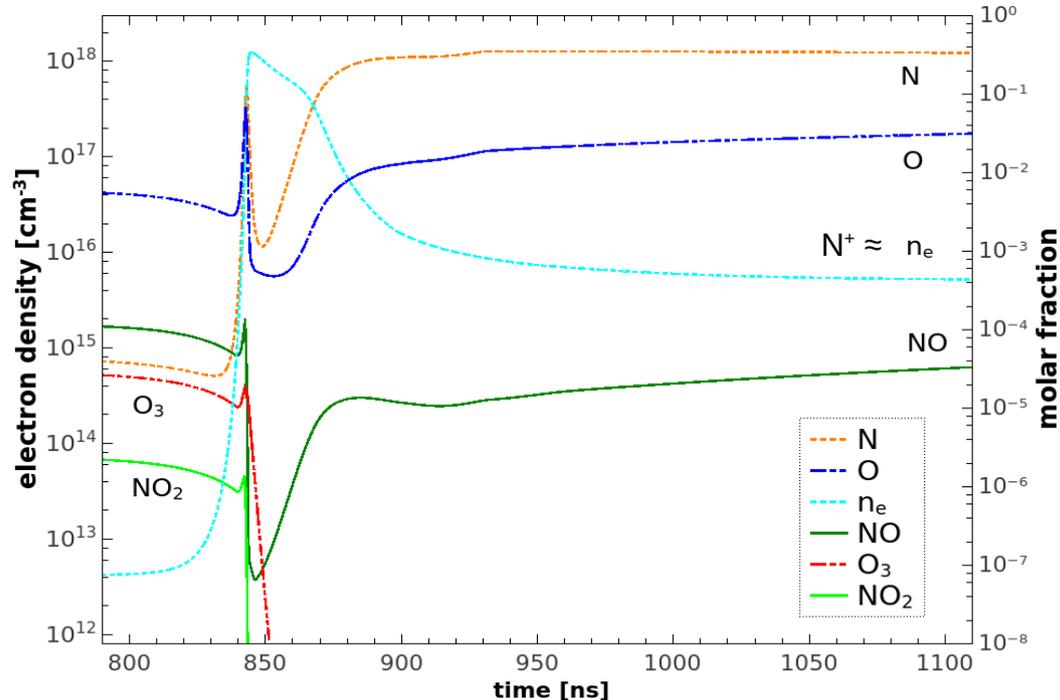
more N atoms during spark

NO shortly after spark

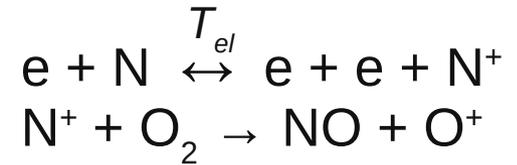
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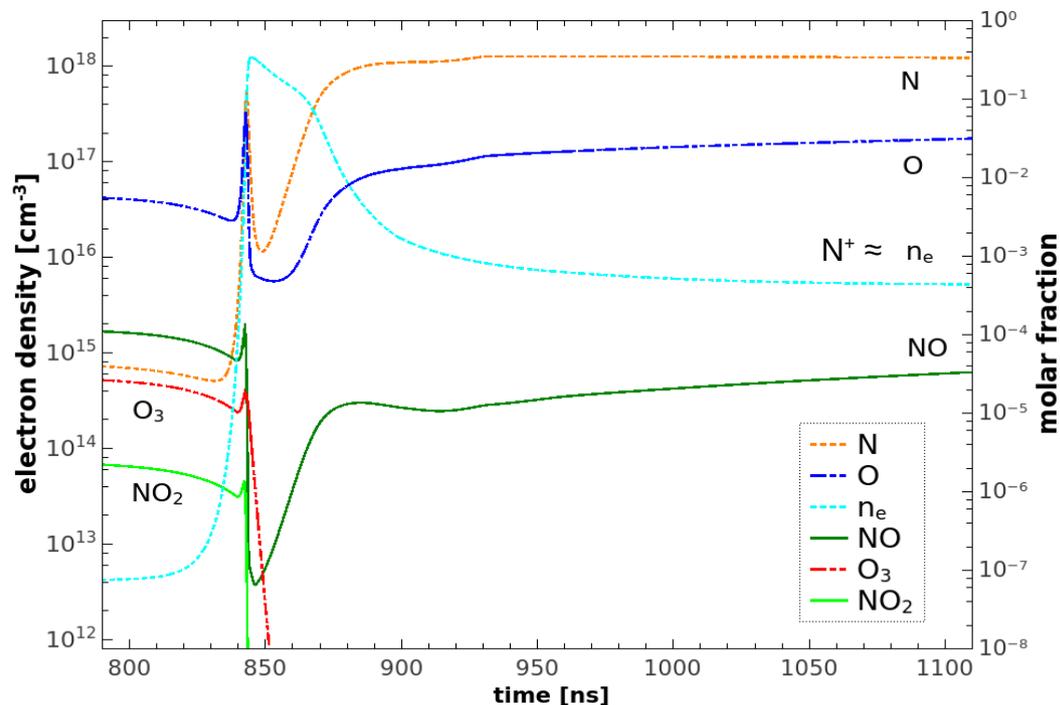
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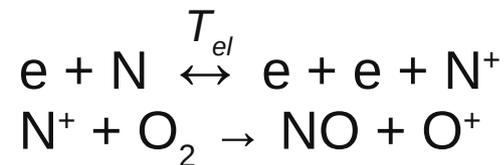
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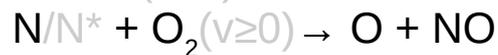
- NO generated by modified Zeldovich mechanism (fast, high  $T_g$ )



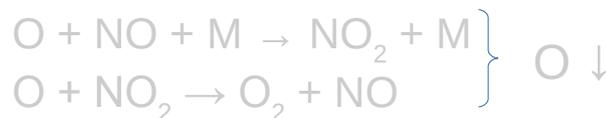
(thermal decomposition, relatively slow, high  $T_g$ )



(rate limiting slow reaction)



- NO<sub>2</sub> generation/removal (relatively fast, elevated  $T_g$ )



- O<sub>3</sub> generation (slower, low  $T_g$ )



- NO<sub>2</sub> generation (slow, low  $T_g$ )



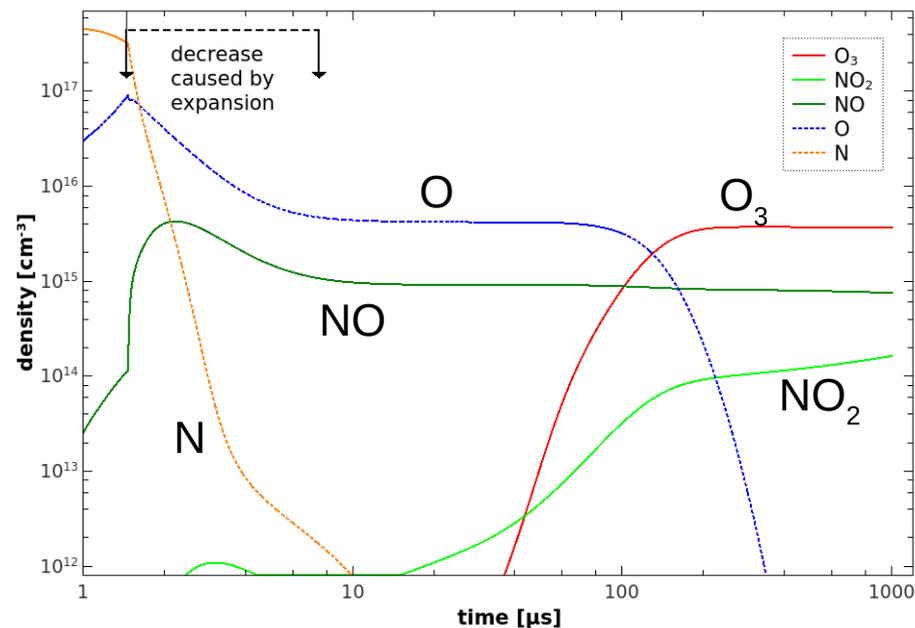
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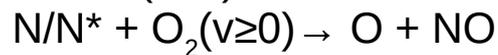
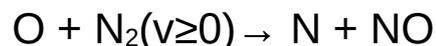
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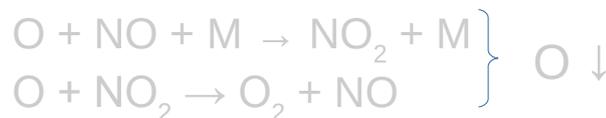
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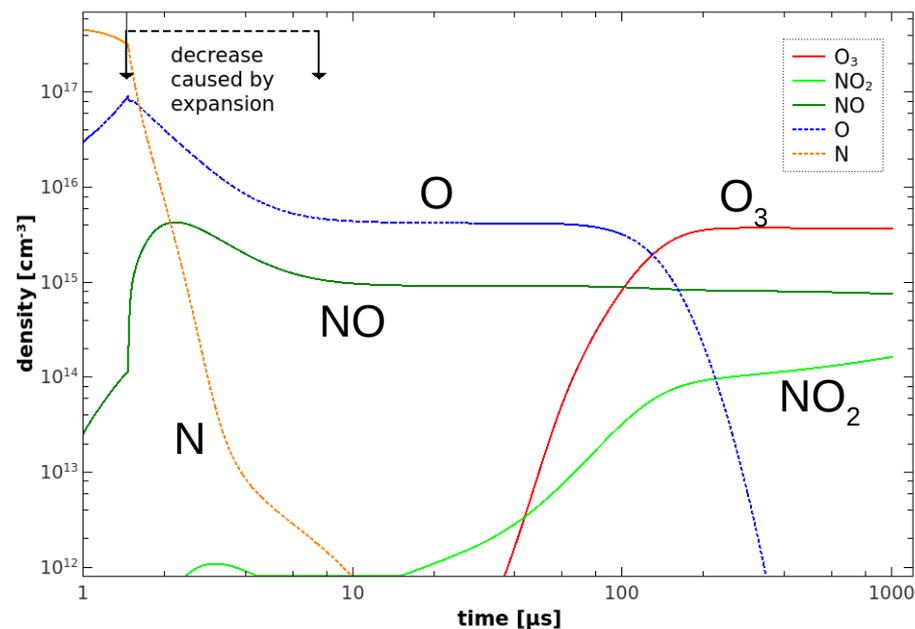
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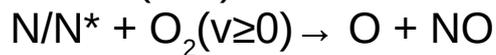
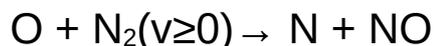
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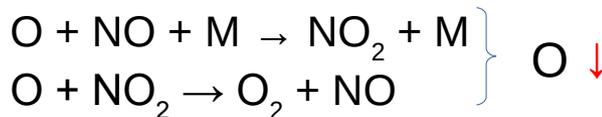
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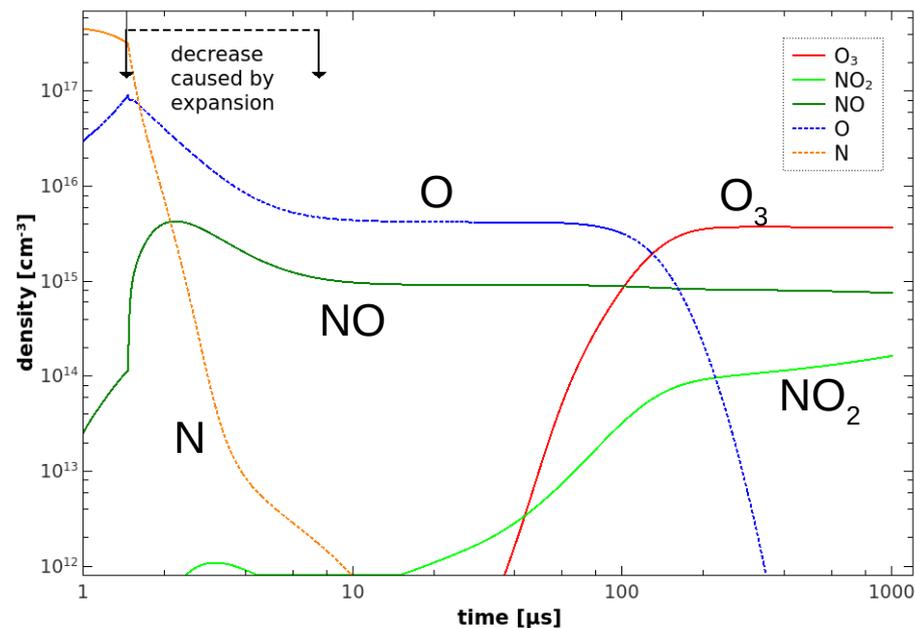
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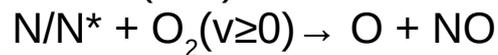
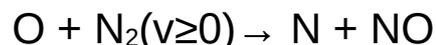
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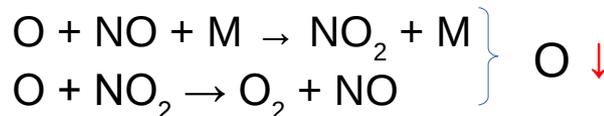
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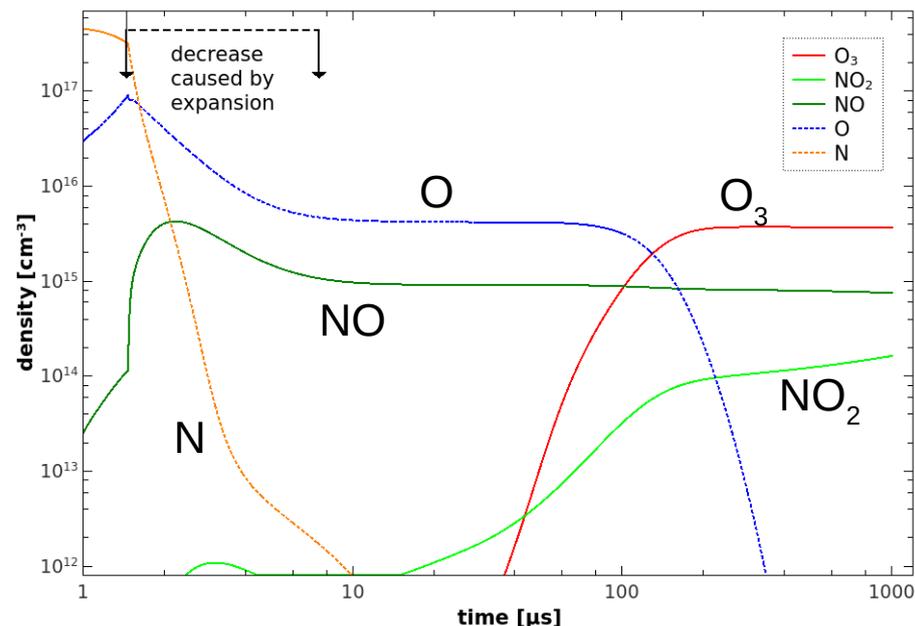
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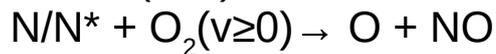
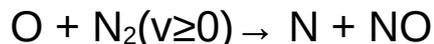
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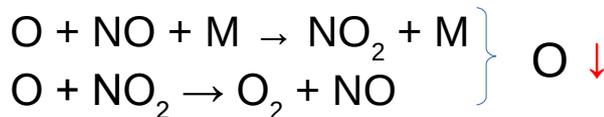
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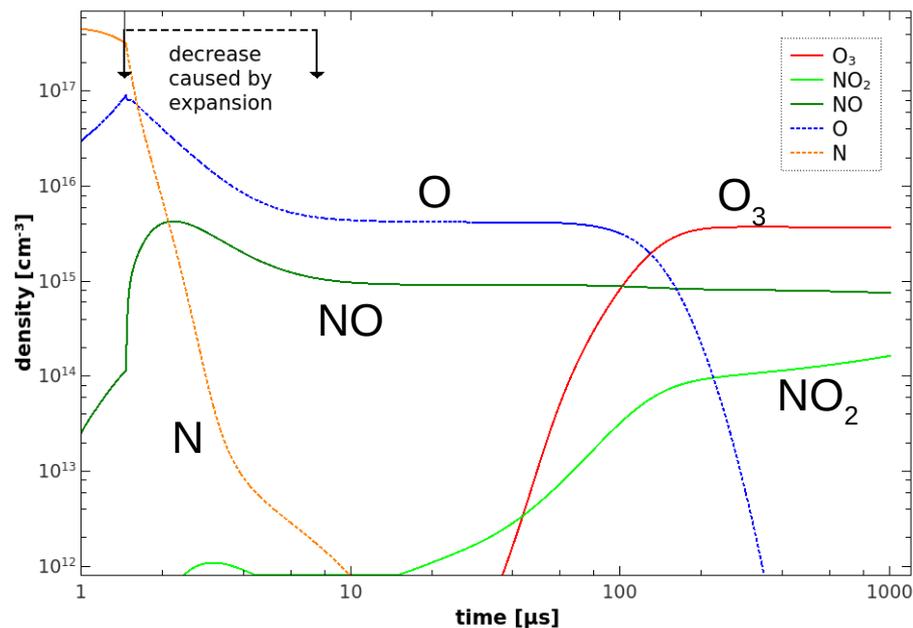
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optimization  
criteria



electric  
circuit  
modifications



additional  
resistor



external  
capacitor



smaller  
limiting  
resistor

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- NO generated by  $N^+$  and by modified Zeldovich mechanism (fast, high  $T_g$ )
- how to increase the NO production according to model?
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    - more N and  $N^+$
  - slow cooling (or higher  $T_g^{max}$ )
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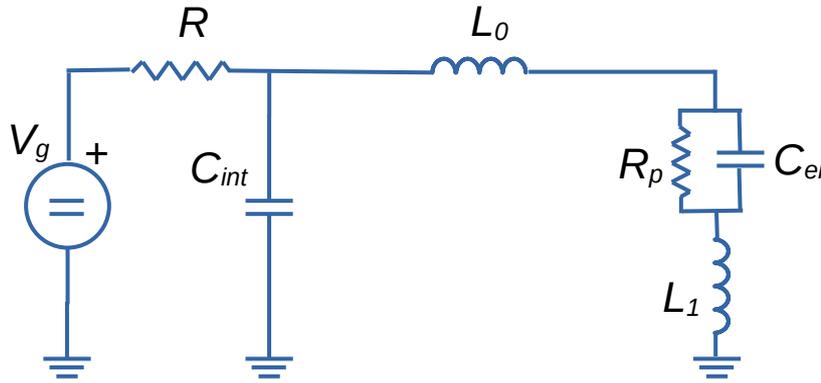
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## ELECTRIC CIRCUIT FOR TS GENERATION

- additional resistor  $r$  (0.1-30 k $\Omega$ ) to divide  $C_{int}$  ( $C_0 \sim 20$  pF,  $C_1 \sim 10$  pF)



↓  
optimization  
criteria

↓  
electric  
circuit  
modifications

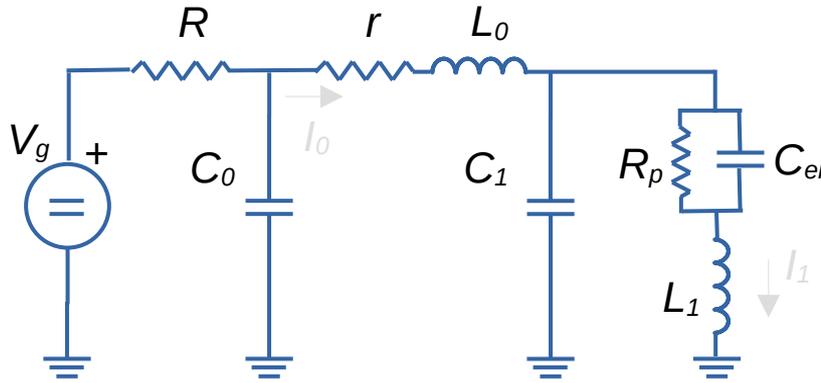
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optimization  
criteria

electric  
circuit  
modifications

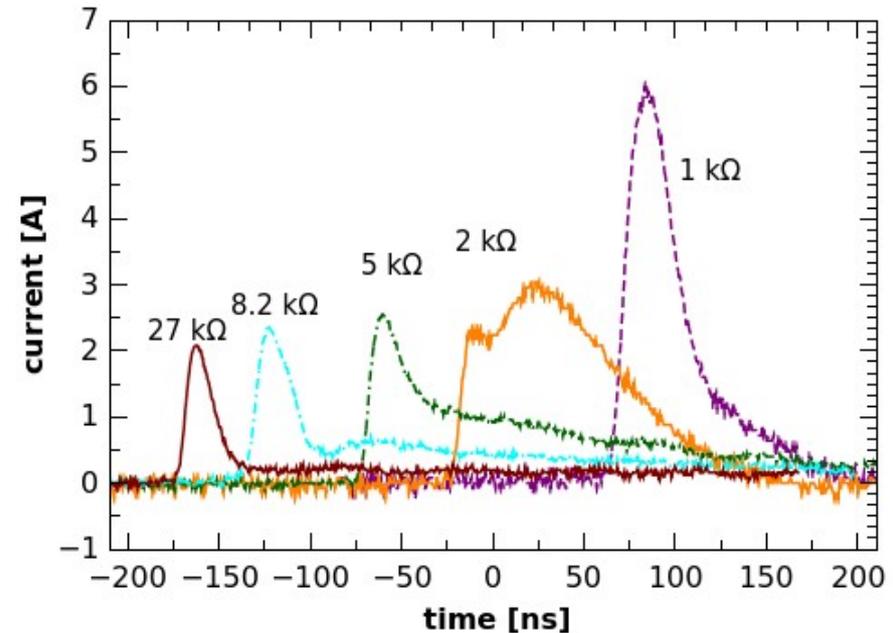
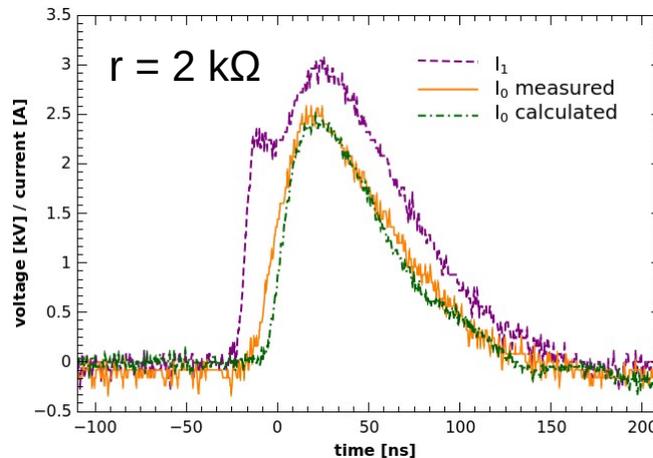
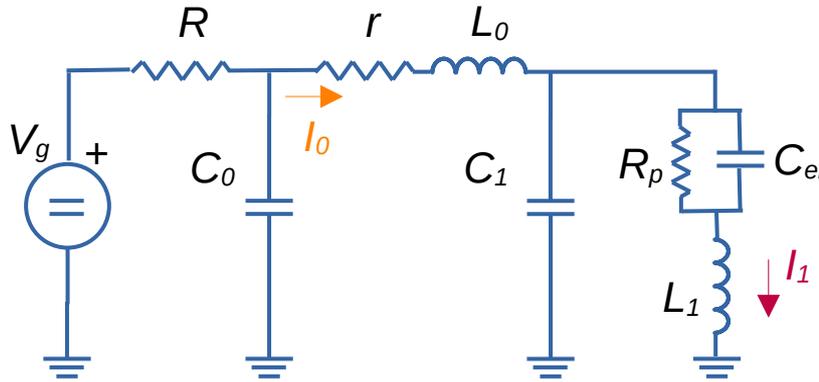
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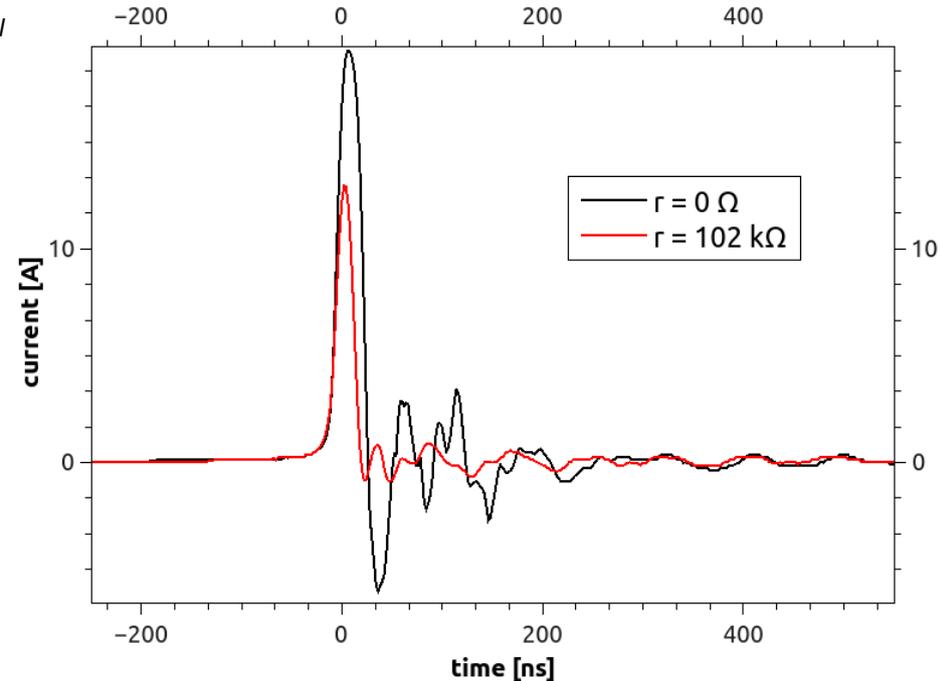
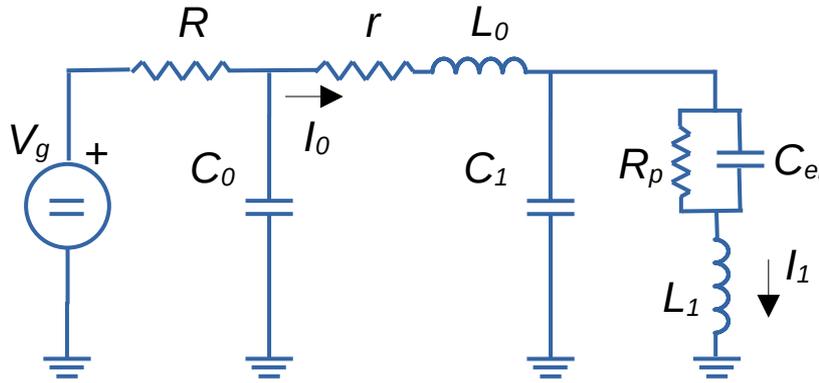
↓  
additional  
resistor

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external  
capacitor

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smaller  
limiting  
resistor

## ELECTRIC CIRCUIT FOR TS GENERATION

- increased  $C_{int}$  ( $C_0 \sim 15\text{-}30$  pF,  $C_1 \sim 20$  pF),  $r = 0\text{-}470$  k $\Omega$



↓  
optimization  
criteria

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electric  
circuit  
modifications

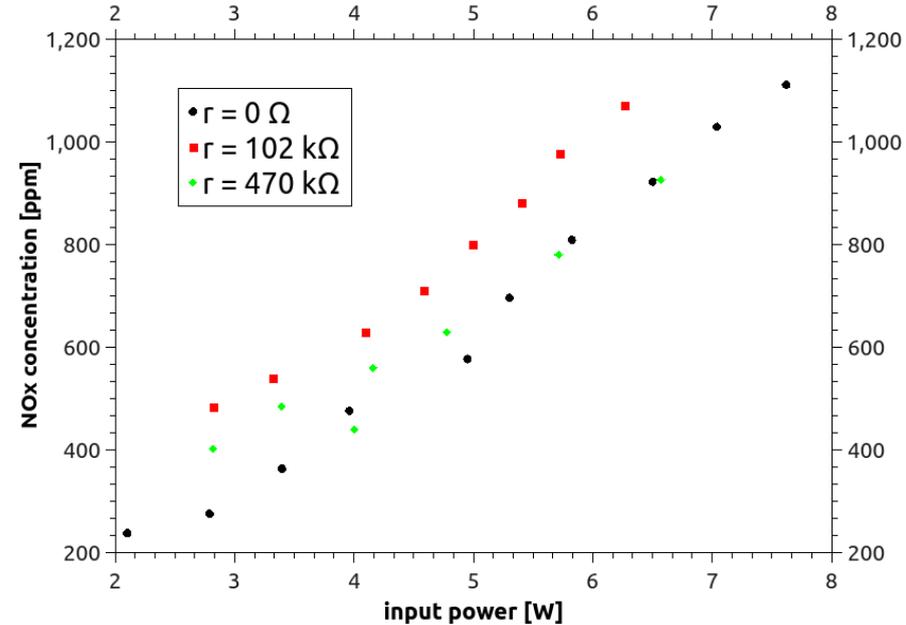
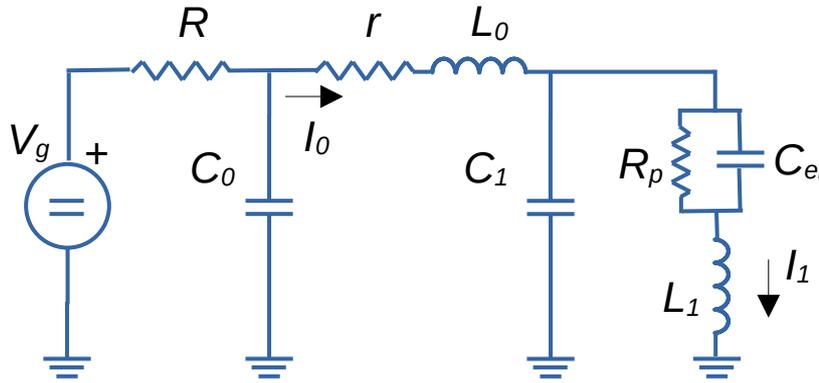
↓  
additional  
resistor

↓  
external  
capacitor

↓  
smaller  
limiting  
resistor

## INFLUENCE OF $r$ ON NO<sub>x</sub> GENERATION

- strongest effect for  $C_0 \sim 15$  pF,  $r = 102$  k $\Omega$  ( $C_1 \sim 20$  pF)



↓  
optimization  
criteria

↓  
electric  
circuit  
modifications

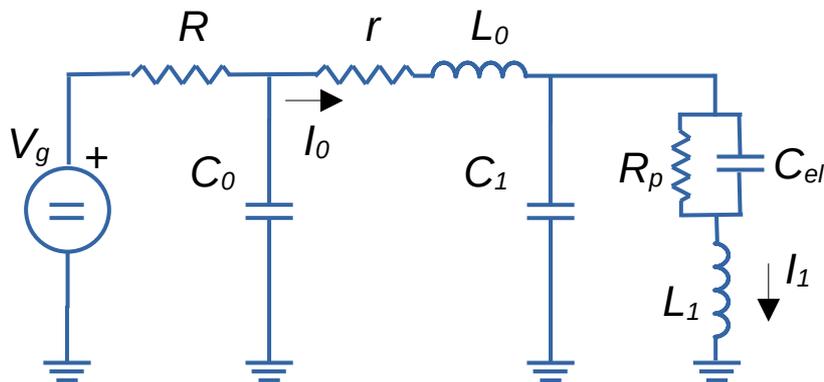
↓  
additional  
resistor

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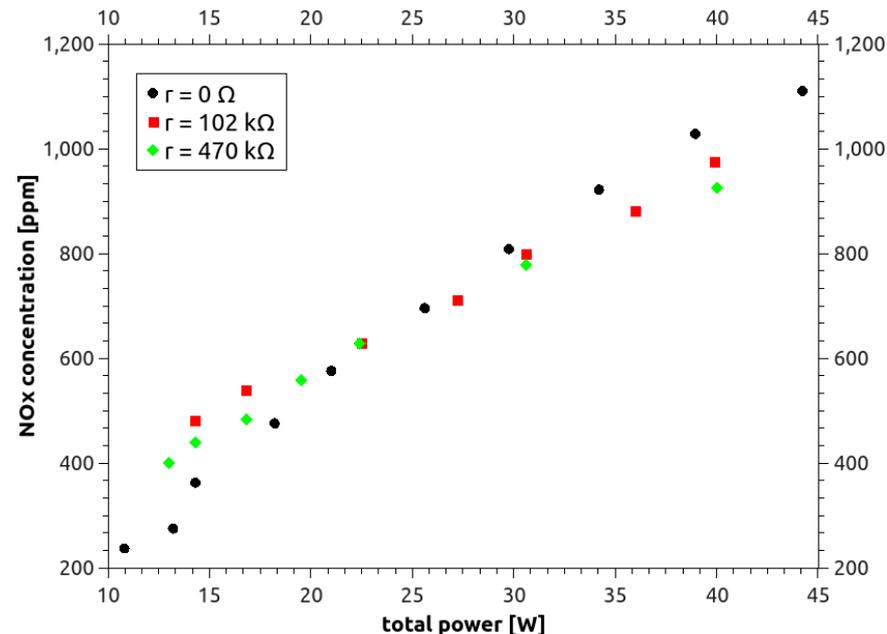
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optimization  
criteria

electric  
circuit  
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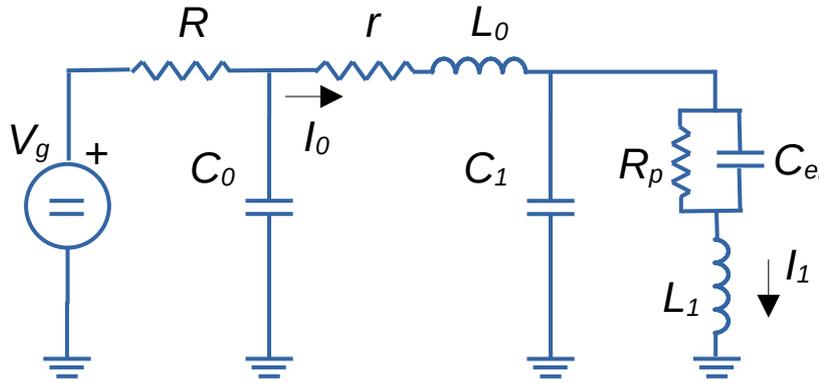
additional  
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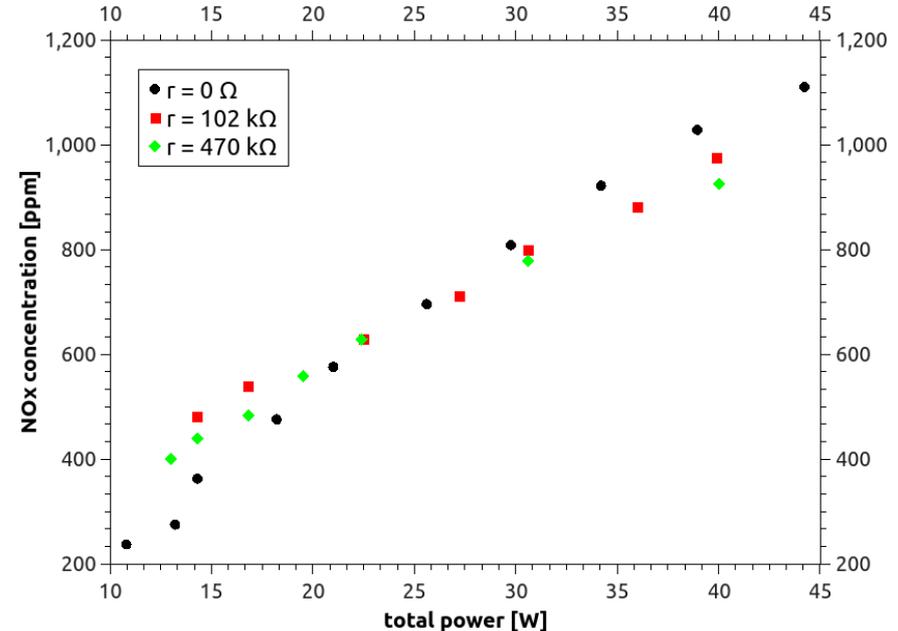
smaller  
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optimization criteria  
 electric circuit modifications

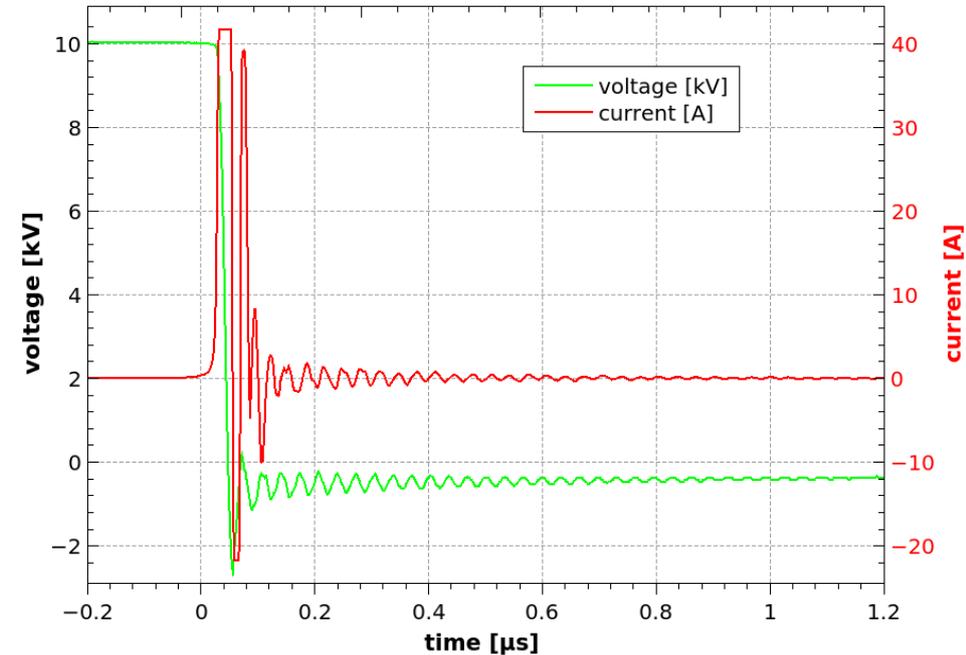
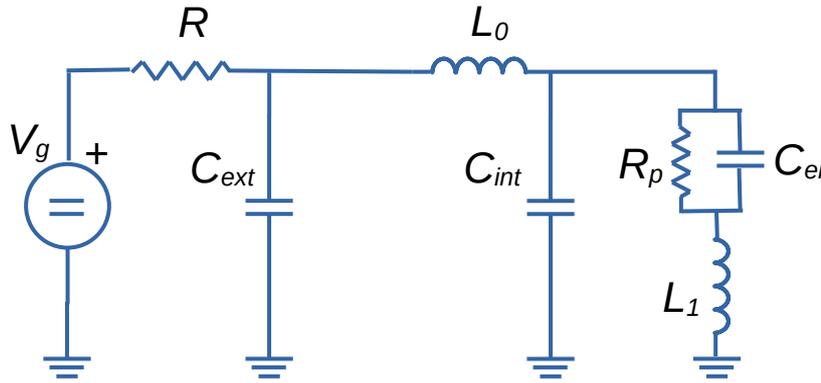
additional resistor

external capacitor

smaller limiting resistor

## INFLUENCE OF $C_{ext}$ ON NO<sub>x</sub> GENERATION

- additional external capacitor  $C_{ext} = 50-500$  pF,  $C_{int} \sim 20$  pF



↓  
optimization  
criteria

↓  
electric  
circuit  
modifications

↓  
additional  
resistor

↓  
external  
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↓  
smaller  
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## INFLUENCE OF $C_{ext}$ ON NO<sub>x</sub> GENERATION

- external capacitor  $C_{ext} = 50$  pF,  $C_{int} \sim 20$  pF,  $R = 9.4$  M $\Omega$  or  $3.2$  M $\Omega$
- $C_{ext} > 100$  pF not suitable (low TS frequency)

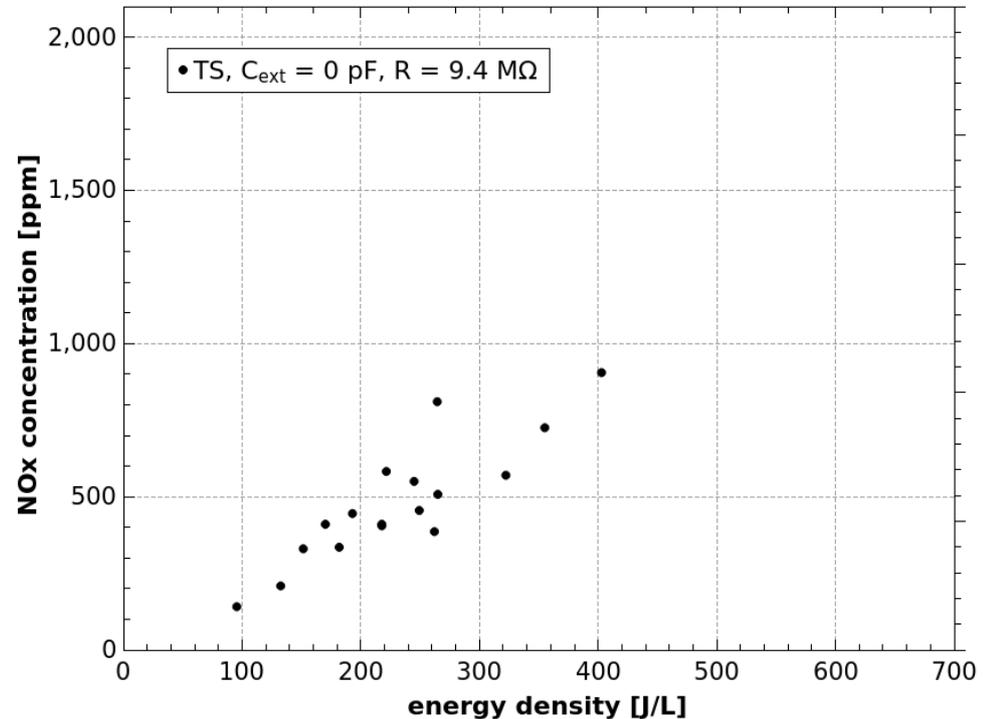
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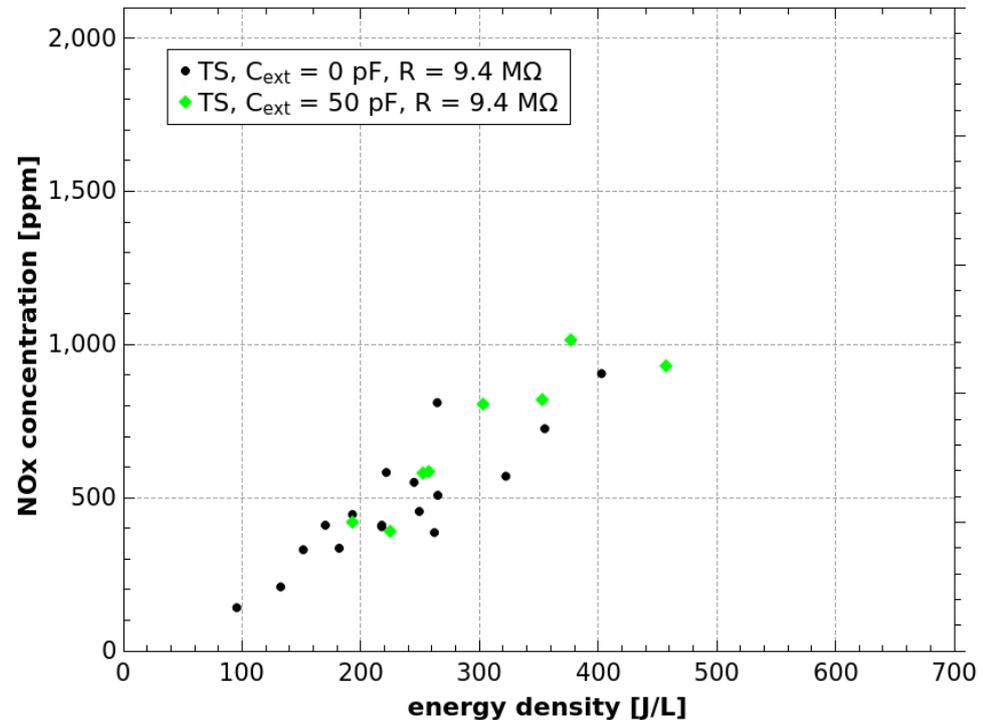
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- $C_{ext}$  does not improve

NO<sub>x</sub> generation efficiency



↓  
optimization  
criteria

↓  
electric  
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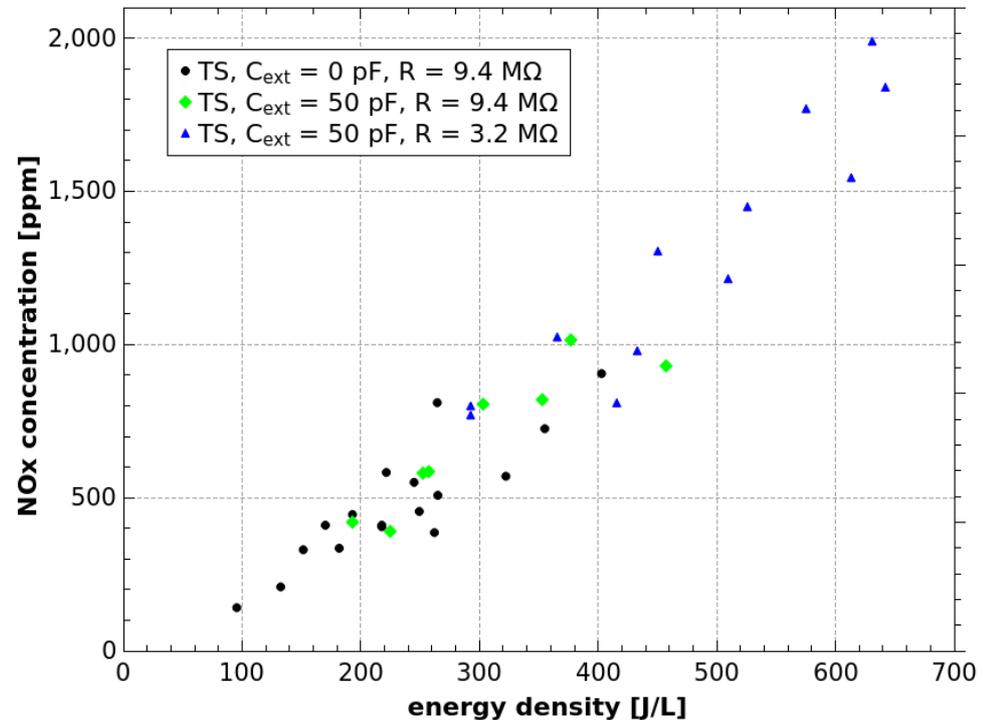
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- $C_{ext}$  enables to use lower  $R$
- higher NO<sub>x</sub> concentration can be achieved



↓  
optimization  
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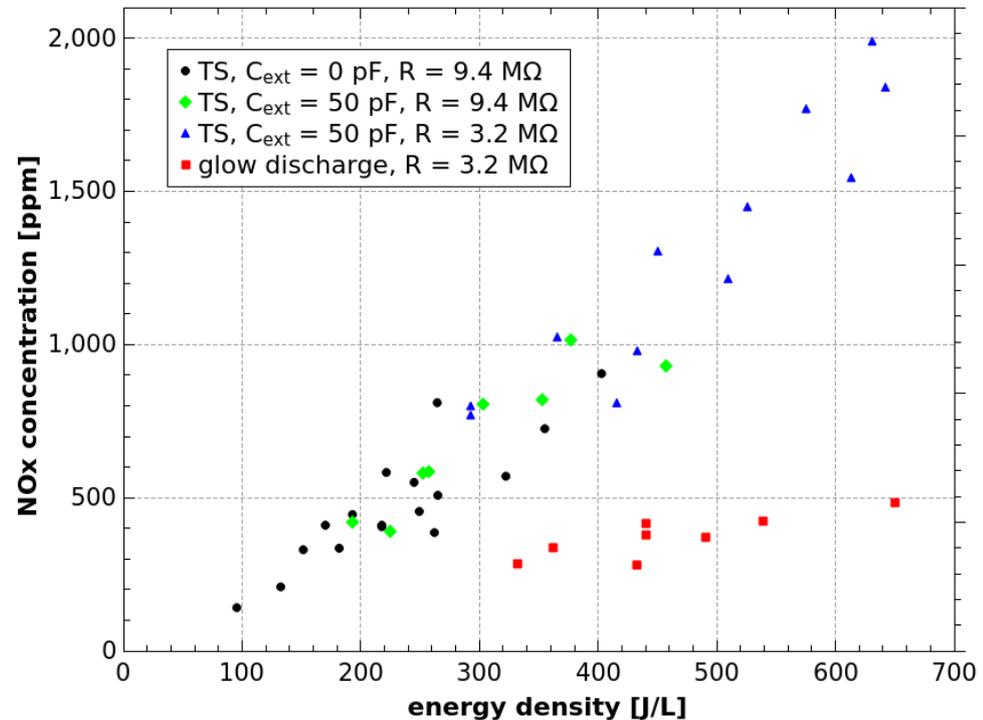
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- transition to glow discharge without  $C_{ext}$  when  $R = 3.2$  M $\Omega$



↓  
optimization  
criteria

↓  
electric  
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modifications

↓  
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resistor

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↓  
smaller  
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## SUMMARY

- TS - relatively simple source of highly reactive non-thermal plasma
  - streamer phase – excited nitrogen species generation mainly
  - short spark phase – significant source of O and N atoms
- NO<sub>x</sub> - dominant gas phase products for TS in air
  - higher temperature and high degree of ionization during spark phase
- higher efficiency of NO<sub>x</sub> generation still possible
  - electric circuit modifications
    - NO<sub>x</sub> production enhancement with division of capacity
    - external capacity enables higher energy density and more NO<sub>x</sub>